

Monitoring Plan for FY2017

Substance Abuse Treatment

Local Authority:

Reviewer(s):

Area Plan/Year End Reports					
Reference	Monitoring Activity	Results	Compliance		Tool
			Y	N	X
Area Plan Described in UCA 17-43-201 (5)(b) Form B Narrative	Managers Discussion: Review approved Area Plan Identify new programs not described in the plan				
Area Plan Described in UCA 17-43-201 (5)(b)	Managers Discussion: Review Year End Reports Overall client cost is within fifty (50) percent of the statewide Local Authority overall average cost per client and within twenty five (25) percent of their previous year actual cost per client				

Compliance with Contract, Division Directives, Utah State Code, Federal Requirements					
Reference	Monitoring Activity	Results	Compliance		Tool
			Y	N	X
UCA 62A-15-103(2)(f) Prior Year Monitoring Report	Managers Discussion Review Corrective Action Plan and previous year findings, if applicable.				
UCA 62A-15-103 (2)(a)(vii) "...continuum of screening, assessment, prevention, treatment, and recovery support services"	Records Review DSAMH will review the following in randomly selected Charts <ul style="list-style-type: none"> • Screening/Assessment • Engagement • Ongoing Assessment • Confidentiality • Treatment/Recovery Plans • Provision of MAT • Discharge planning connection to community supports * See Clinical Review Checklist (E1)				E1
	Managers Discussion Review SUD Scorecards				
UCA 62A-15-103 (2)(a)(vii) "...continuum of screening, assessment, prevention, treatment, and recovery support services"	Managers Discussion Review service levels: Pregnant Women and Women with Dependent Children : <div style="text-align: right;">Women:</div> <div style="text-align: right;">Men:</div>				

	<p>Youth:</p> <p>Services in County jails:</p>			
DSAMH Directives	<p>Managers Discussion: Client Satisfaction: Review SA Satisfaction Survey</p> <ul style="list-style-type: none"> • Minimum sample rate of 10% of the number of annual unduplicated individuals served? • Providers who receive less than 75% of the established target for the outcome domains may receive a finding in the audit report. 			
DSAMH Directives SAPT Block Grant Requirements	<p>Managers Discussion: Heroin and IV Drug use Review Heroin and IV Drug Use Admissions Data Local Authority:</p> <ul style="list-style-type: none"> • Notifies DSAMH (within 7 days) whenever program reaches 90 percent of its treatment capacity. • Admits each individual who requests and is in need of treatment for intravenous drug abuse: Not later than 14 days after making the request or Within 120 days of the request if the program has no capacity to admit the individual, the program makes interim services available within 48 hours. • Offers the interim services until the individual is admitted to a substance abuse treatment program. 			
DSAMH Directives	<p>Managers Discussion: Medication-assisted Treatment (MAT) Evaluate for opiate or alcohol use within the first 10 days of service Offer MAT services directly, through contracted providers or referral Evidence of MAT services in treatment plans</p>			

DSAMH Directives	Managers Discussion Participation in local Multi-Agency Coordinating Committees.				
DSAMH Directives	Managers Discussion: Drug Court <ul style="list-style-type: none"> • Judicial Council Certification Findings follow up • SUD Need Screening tool • Criminogenic Risk Screening tool • RANT kept in client charts (File review) • Minimum of two drug tests per week documented (File review) • Review orientation manual and participation agreement • No MAT prohibitions or required taper to graduate • Participant fee policy consistent with approved local authority fee schedule. • Each key program member attended 8 hours of continuing education with a focus on substance abuse in the past year. • Medicaid eligibility determination assistance • Connection to Workforce services for Medicaid or health care navigators for other insurance Data Review: Drug Courts Review Drug Court admissions <ul style="list-style-type: none"> • Felony: SFY 15 SFY 16 SFY 17 • Family: SFY 15 SFY 16 SFY 17 • Juv: SFY 15 SFY 16 SFY 17 • Review Drug Court Number Served: • Felony: SFY 15 SFY 16 SFY 17 • Family: SFY 15 SFY 16 SFY 17 • Juv: SFY 15 SFY 16 SFY 17 Review Drug Court Scorecard				
DSAMH Directives	Managers Discussion: DORA: <ul style="list-style-type: none"> • Review admissions and number served • Review DORA Risk Levels • Ability to triage based on risk • Evidence-based treatment provided 				

	<ul style="list-style-type: none"> ● Training Needs ● DORA outcomes 				
DSAMH Directives	<p>Managers Discussion: Justice Reinvestment Initiative</p> <ul style="list-style-type: none"> ● Key local stakeholders in planning and implementation meeting ● Local JRI Implementation efforts ● Correctional Program Checklist completed ● EBP- continuum of screening, assessment, prevention, treatment and recovery support services ● Ability to separate High Risk from Low Risk clients ● Outcomes ● Training needs 				
	<p>Data Review: Justice Reinvestment Initiative</p> <ul style="list-style-type: none"> ● Risk Levels collected ● “Compelled to Treatment” collected 				
SAPT Block Grant Requirements DSAMH Directives	<p>Managers Discussion: Services for Pregnant Women Gives preference in admission to pregnant women. Refers pregnant women to DSAMH when the program has insufficient capacity. Ability to admits both women and their children; Program provides or arranges:</p> <ul style="list-style-type: none"> ● Primary medical care, including prenatal care; ● child care; ● primary pediatric care, including immunizations, for the women’s children; ● Gender-specific treatment and other that address relationships, sexual abuse, physical abuse, and parenting; ● Therapeutic interventions for children ● Case management and transportation services; <p>Interim services available within 48 hours to pregnant women who cannot be admitted. Counseling pregnant women on the effects of alcohol and other drug use on the fetus and referrals for prenatal care for pregnant women.</p> <p>Managers Discussion: Women’s Services Prevention, treatment recovery supports for women</p>				

	Review Women’s data and outcomes.				
DSAMH Directives	<p>Managers Discussion: Drug testing Written Policy and Procedure Cut off levels and methodology approved by SAMHSA Results documented in EHR</p> <p>Document Review: Written Drug testing Policy and Procedure addresses:</p> <ul style="list-style-type: none"> • Frequency and selection participants • Screening and Confirmation methods • Collection procedure • Checks for tampering, adulteration and dilution • Chain of custody • Documentation standards • Training requirements, trauma informed • Disclosure of results • No fee for negative confirmation • Test not considered positive unless confirmed <p>Participant agreement provides notice of following:</p> <ul style="list-style-type: none"> • Purpose of testing • Potential consequence for testing positive • Right to confirmation test • Communication, dissemination of results 				
DSAMH Directives	<p>Discussion with Intake Staff: Access Management walk through of intake procedures changes were made as a result SAPT priority populations Management of waiting lists Required notification of DSAMH Interim Service provision Protocol for requests for services not provided by the local authority.</p>				
Utah Code 17-43-201 (5)(m)	<p>Managers Discussion: DUI Review DUI Convictions data Verify participants receive a substance use disorder Screening and assessment if indicated prior to participating in Prime For Life</p>				

Administrative Rule R523-11	Verify participants receive and keep a new PRIME For Life workbook Verify classes are divided by age? NOTE: there is a proposed rule change that will make the cut at age 18, it is age 21 right now Verify the course lasts at least 16 hours Number of individuals attending Prime For Life DUI Education Number of individuals attending "Not a Drop" Education:				
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Strategic Plan

Reference	Monitoring Activity	Results	Compliance		Tool
			Y	N	X
Prevention Early Intervention: 1.7.3 Incorporate education, promotion and distribution of Naloxone kits	Managers Discussion: Review Overdose deaths by County Naloxone education, TA, to individuals, family, friends, and others Number of kits distributed in prior year Number of documented reversals Counseling and education about: HIV and TB, Risk associated with needle sharing Risks of transmission to sexual partners and infants, and steps that can be taken to ensure that HIV and TB transmission does not occur Referral for HIV or TB treatment services, if necessary				
Zero Suicides: Goal 2.3: ...adoption of Zero Suicide framework	Managers Discussion Review suicide deaths by county Local authority plan for suicide prevention, intervention and postvention (Medicaid Performance Improvement Plan (PIP) 2.3.1 Promote suicide prevention as a core component of health care services. 2.3.2 Screening for suicide risk within the public behavioral health care system 2.3.3 Safety planning for individuals who screen positive for suicide risk				

Strategic Plan Initiative #3 Promote Recovery / DSAMH Directives	Managers Discussion: Recovery Support Services: <ul style="list-style-type: none"> ● Case management ● Employment ● Housing ● Peer Support for children, youth, families ● Transportation 					
Strategic Initiative #4 – Improve Care for Children and Youth.	Managers Discussion : Adolescent Services Participate in TRI Consumer Guide to Adolescent Substance Abuse Treatment Training needs					
Data						
Reference	Monitoring Activity	Results		Compliance		Tool
			Y	N	X	
DSAMH Directives	Data Reports: <ul style="list-style-type: none"> ● SA Scorecard Review ● Client Satisfaction Surveys ● Drug Court admissions and number served, outcomes ● DORA admissions and number served, outcomes ● JRI admissions, number served, outcomes ● Women’s Services admissions number served, outcomes ● Adolescent admissions, number served and outcomes ● Heroin and IV Drug use ● DUI Convictions by county ● DUI Education Book Purchase records. ● Overdose Deaths by county ● Suicide by county ● Rant Usage Report ● Number of clients “compelled to treatment” ● Risk level assigned to criminal justice clients. ● Review “ open” files Document Review: <ul style="list-style-type: none"> ● Orientation and intake paperwork ● Drug Court Manual ● Participant agreements ● Fee agreements 					

	<ul style="list-style-type: none"> • Drug testing Policy and Procedure 				
Specialty Contracts					
Reference	Monitoring Activity	Results	Compliance		Tool
			Y	N	X
	If applicable: <ul style="list-style-type: none"> • Access to Recovery • Women's residential programs • Other discretionary grants 				