

I: State Information

State Information

Plan Year

Start Year:

2013

End Year:

2014

State SAPT DUNS Number

Number

878593383

Expiration Date

I. State Agency to be the SAPT Grantee for the Block Grant

Agency Name

Utah Department of Human Services

Organizational Unit

Division of Substance Abuse and Mental Health

Mailing Address

195 North 1950 West

City

Salt Lake City

Zip Code

84116

II. Contact Person for the SAPT Grantee of the Block Grant

First Name

Palmer

Last Name

DePaulis

Agency Name

Utah State Department of Human Services

Mailing Address

195 North 1950 West

City

Salt Lake City

Zip Code

84116

Telephone

801-538-4001

Fax

801-538-4016

Email Address

palmer@utah.gov

State CMHS DUNS Number

Number

878593383

Expiration Date

I. State Agency to be the CMHS Grantee for the Block Grant

Agency Name

Utah Department of Human Services

Organizational Unit

Division of Substance Abuse and Mental Health

Mailing Address

195 North 1950 West

City

Salt Lake City

Zip Code

84116

II. Contact Person for the CMHS Grantee of the Block Grant

First Name

Palmer

Last Name

DePaulis

Agency Name

Utah State Department of Human Services

Mailing Address

195 North 1950 West

City

Salt Lake City

Zip Code

84116

Telephone

801-538-4001

Fax

801-538-4016

Email Address

palmer@utah.gov

III. State Expenditure Period (Most recent State expenditure period that is closed out)

From

7/1/2011

To

6/30/2012

IV. Date Submitted

NOTE: this field will be automatically populated when the application is submitted.

Submission Date

9/4/2012 4:36:16 PM

Revision Date

8/26/2013 1:24:51 PM

V. Contact Person Responsible for Application Submission

First Name

Jennifer

Last Name

Oxborrow

Telephone

801-538-4519

Fax

801-538-9892

Email Address

joxborrow@utah.gov

Footnotes:

I: State Information

Assurances - Non-Construction Programs

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

Note: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States, and if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standard or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the nineteen statutes or regulations specified in Appendix A of OPM's Standard for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to non-discrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Title II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetland pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clear Air) Implementation Plans under Section 176(c) of the Clear Air Act of 1955, as amended (42 U.S.C. §7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended, (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended, (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).

14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act of 1984.
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations and policies governing this program.

Name	<input type="text" value="Palmer DePaulis"/>
Title	<input type="text" value="Executive Director"/>
Organization	<input type="text" value="Utah Department of Human Services"/>

Signature: *Palmer DePaulis* Date: *9/4/2012*

Footnotes:

I: State Information

Certifications

1. Certification Regarding Debarment and Suspension

The undersigned (authorized official signing for the applicant organization) certifies to the best of his or her knowledge and belief, that the applicant, defined as the primary participant in accordance with 45 CFR Part 76, and its principals:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal Department or agency;
- b. have not within a 3-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- c. are not presently indicted or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (b) of this certification; and
- d. have not within a 3-year period preceding this application/proposal had one or more public transactions (Federal, State, or local) terminated for cause or default.

Should the applicant not be able to provide this certification, an explanation as to why should be placed after the assurances page in the application package.

The applicant agrees by submitting this proposal that it will include, without modification, the clause titled "Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion--Lower Tier Covered Transactions" in all lower tier covered transactions (i.e., transactions with subgrantees and/or contractors) and in all solicitations for lower tier covered transactions in accordance with 45 CFR Part 76.

2. Certification Regarding Drug-Free Workplace Requirements

The undersigned (authorized official signing for the applicant organization) certifies that the applicant will, or will continue to, provide a drug-free work-place in accordance with 45 CFR Part 76 by:

- a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the grantee's work-place and specifying the actions that will be taken against employees for violation of such prohibition;
- b. Establishing an ongoing drug-free awareness program to inform employees about--
 1. The dangers of drug abuse in the workplace;
 2. The grantee's policy of maintaining a drug-free workplace;
 3. Any available drug counseling, rehabilitation, and employee assistance programs; and
 4. The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a) above;
- d. Notifying the employee in the statement required by paragraph (a), above, that, as a condition of employment under the grant, the employee will--
 1. Abide by the terms of the statement; and
 2. Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
- e. Notifying the agency in writing within ten calendar days after receiving notice under paragraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
- f. Taking one of the following actions, within 30 calendar days of receiving notice under paragraph (d) (2), with respect to any employee who is so convicted?
 1. Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 2. Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
- g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (d), (e), and (f).

For purposes of paragraph (g) regarding agency notification of criminal drug convictions, the DHHS has designated the following central point for receipt of such notices:

Office of Grants and Acquisition Management
Office of Grants Management
Office of the Assistant Secretary for Management and Budget

3. Certifications Regarding Lobbying

Title 31, United States Code, Section 1352, entitled "Limitation on use of appropriated funds to influence certain Federal contracting and financial transactions," generally prohibits recipients of Federal grants and cooperative agreements from using Federal (appropriated) funds for lobbying the Executive or Legislative Branches of the Federal Government in connection with a SPECIFIC grant or cooperative agreement. Section 1352 also requires that each person who requests or receives a Federal grant or cooperative agreement must disclose lobbying undertaken with non-Federal (non-appropriated) funds. These requirements apply to grants and cooperative agreements EXCEEDING \$100,000 in total costs (45 CFR Part 93).

The undersigned (authorized official signing for the applicant organization) certifies, to the best of his or her knowledge and belief, that:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federally appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. (If needed, Standard Form-LLL, "Disclosure of Lobbying Activities," its instructions, and continuation sheet are included at the end of this application form.)
3. The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

4. Certification Regarding Program Fraud Civil Remedies Act (PFCRA)

The undersigned (authorized official signing for the applicant organization) certifies that the statements herein are true, complete, and accurate to the best of his or her knowledge, and that he or she is aware that any false, fictitious, or fraudulent statements or claims may subject him or her to criminal, civil, or administrative penalties. The undersigned agrees that the applicant organization will comply with the Public Health Service terms and conditions of award if a grant is awarded as a result of this application.

5. Certification Regarding Environmental Tobacco Smoke

Public Law 103-227, also known as the Pro-Children Act of 1994 (Act), requires that smoking not be permitted in any portion of any indoor facility owned or leased or contracted for by an entity and used routinely or regularly for the provision of health, daycare, early childhood development services, education or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law also applies to children's services that are provided in indoor facilities that are constructed, operated, or maintained with such Federal funds. The law does not apply to children's services provided in private residence, portions of facilities used for inpatient drug or alcohol treatment, service providers whose sole source of applicable Federal funds is Medicare or Medicaid, or facilities where WIC coupons are redeemed.

Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1,000 for each violation and/or the imposition of an administrative compliance order on the responsible entity.

By signing the certification, the undersigned certifies that the applicant organization will comply with the requirements of the Act and will not allow smoking within any portion of any indoor facility used for the provision of services for children as defined by the Act.

The applicant organization agrees that it will require that the language of this certification be included in any subawards which contain provisions for children's services and that all subrecipients shall certify accordingly.

The Public Health Services strongly encourages all grant recipients to provide a smoke-free workplace and promote the non-use of tobacco products. This is consistent with the PHS mission to protect and advance the physical and mental health of the American people.

Name	Palmer DePaulis
Title	Executive Director
Organization	Utah Department of Human Services

Signature: _____

Palmer DePaulis

Date: _____

9/4/2012

Footnotes:

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I: State Information

Chief Executive Officer's Funding Agreements/Certifications (Form 3) [SA]

FY 2013 Substance Abuse Prevention and Treatment Block Grant Funding Agreements/Certifications as required by Title XIX, Part B, Subpart II and Subpart III of the Public Health Service (PHS) Act

Title XIX, Part B, Subpart II and Subpart III of the PHS Act, as amended, requires the chief executive officer (or an authorized designee) of the applicant organization to certify that the State will comply with the following specific citations as summarized and set forth below, and with any regulations or guidelines issued in conjunction with this Subpart except as exempt by statute.

SAMHSA will accept a signature on this form as certification of agreement to comply with the cited provisions of the PHS Act. If signed by a designee, a copy of the designation must be attached.

- I. FORMULA GRANTS TO STATES, SECTION 1921
- II. Certain Allocations (Prevention Programs utilizing IOM populations ; Pregnant women and women with dependent children) Section 1922
- III. INTRAVENOUS DRUG ABUSE, SECTION 1923
- IV. REQUIREMENTS REGARDING TUBERCULOSIS AND HUMAN IMMUNODEFICIENCY VIRUS, SECTION 1924
- V. Group Homes for Recovering Substance Abusers, Section 1925
- VI. State Law Regarding Sale of Tobacco Products to Individuals Under Age of 18, Section 1926
- VII. TREATMENT SERVICES FOR PREGNANT WOMEN, SECTION 1927
- VIII. ADDITIONAL AGREEMENTS(IMPROVED REFERRAL PROCESS, CONTINUING EDUCATION, COORDINATION OF ACTIVITIES AND SERVICES), SECTION 1928
- IX. IX SUBMISSION TO SECRETARY OF STATEWIDE ASSESSMENT OF NEEDS, SECTION 1929
- X. MAINTENANCE OF EFFORT REGARDING STATE EXPENDITURES, SECTION 1930
- XI. Restrictions on Expenditure of Grant, Section 1931
- XII. APPLICATION FOR GRANT; APPROVAL OF STATE PLAN, SECTION 1932
- XIII. Opportunity for Public Comment on State Plans, Section 1941
- XIV. Requirement of Reports and Audits by States, Section 1942
- XV. ADDITIONAL REQUIREMENTS, SECTION 1943
- XVI. Prohibitions Regarding Receipt of Funds, Section 1946
- XVII. Nondiscrimination, Section 1947
- XVIII. Continuation of Certain Programs, Section 1953

XIX. Services Provided By Nongovernmental Organizations, Section 1955

XX. Services for Individuals with Co-Occurring Disorders, Section 1956

I hereby certify that Utah will comply with Title XIX, Part B, Subpart II and Subpart III of the Public Health Service (PHS) Act, as amended, as summarized above, except for those sections in the PHS Act that do not apply or for which a waiver has been granted or may be granted by the Secretary for the period covered by this agreement.

Name	Palmer DePaulis
Title	Executive Director
Organization	Utah Department of Human Services

Signature: Palmer DePaulis Date: 2012

Footnotes:

I: State Information

Chief Executive Officer's Funding Agreements/Certifications (Form 3) [MH]

Community Mental Health Services Block Grant Funding Agreements FISCAL YEAR 2013

I hereby certify that Utah agrees to comply with the following sections of Title V of the Public Health Service Act [42 U.S.C. 300x-1 et seq.]

I. Section 1911:

Subject to Section 1916, the State will expend the grant only for the purpose of:

- i. Carrying out the plan under Section 1912(a) [State Plan for Comprehensive Community Mental Health Services] by the State for the fiscal year involved;
- ii. Evaluating programs and services carried out under the plan; and
- iii. Planning, administration, and educational activities related to providing services under the plan.

II. Section 1912:

(c)(1)&(2) [As a funding agreement for a grant under Section 1911 of this title] The Secretary establishes and disseminates definitions for the terms "adults with a serious mental illness" and "children with a severe emotional disturbance" and the States will utilize such methods [standardized methods, established by the Secretary] in making estimates [of the incidence and prevalence in the State of serious mental illness among adults and serious emotional disturbance among children].

III. Section 1913:

(a)(1)(C) In the case for a grant for fiscal year 2011, the State will expend for such system [of integrated services described in section 1912(b)(3)] not less than an amount equal to the amount expended by the State for the fiscal year 1994.

[A system of integrated social services, educational services, juvenile services and substance abuse services that, together with health and mental health services, will be provided in order for such children to receive care appropriate for their multiple needs (which includes services provided under the Individuals with Disabilities Education Act)].

(b)(1) The State will provide services under the plan only through appropriate, qualified community programs (which may include community mental health centers, child mental-health programs, psychosocial rehabilitation programs, mental health peer-support programs, and mental-health primary consumer-directed programs).

(b)(2) The State agrees that services under the plan will be provided through community mental health centers only if the centers meet the criteria specified in subsection (c).

(C)(1) With respect to mental health services, the centers provide services as follows:

(A) Services principally to individuals residing in a defined geographic area (referred to as a "service area")

(B) Outpatient services, including specialized outpatient services for children, the elderly, individuals with a serious mental illness, and residents of the service areas of the centers who have been discharged from inpatient treatment at a mental health facility.

(C) 24-hour-a-day emergency care services.

(D) Day treatment or other partial hospitalization services, or psychosocial rehabilitation services.

(E) Screening for patients being considered for admissions to State mental health facilities to determine the appropriateness of such admission.

(2) The mental health services of the centers are provided, within the limits of the capacities of the centers, to any individual residing or employed in the service area of the center regardless of ability to pay for such services.

(3) The mental health services of the centers are available and accessible promptly, as appropriate and in a manner which preserves human dignity and assures continuity and high quality care.

IV. Section 1914:

The State will establish and maintain a State mental health planning council in accordance with the conditions described in this section.

(b) The duties of the Council are:

(1) to review plans provided to the Council pursuant to section 1915(a) by the State involved and to submit to the State any recommendations of the Council for modifications to the plans;

(2) to serve as an advocate for adults with a serious mental illness, children with a severe emotional disturbance, and other individuals with mental illness or emotional problems; and

(3) to monitor, review, and evaluate, not less than once each year, the allocation and adequacy of mental health services within the State.

(c)(1) A condition under subsection (a) for a Council is that the Council is to be composed of residents of the State, including representatives of:

(A) the principle State agencies with respect to:

(i) mental health, education, vocational rehabilitation, criminal justice, housing, and social services; and
(ii) the development of the plan submitted pursuant to Title XIX of the Social Security Act;

(B) public and private entities concerned with the need, planning, operation, funding, and use of mental health services and related support services;

(C) adults with serious mental illnesses who are receiving (or have received) mental health services; and

(D) the families of such adults or families of children with emotional disturbance.

(2) A condition under subsection (a) for a Council is that:

(A) with respect to the membership of the Council, the ratio of parents of children with a serious emotional disturbance to other members of the Council is sufficient to provide adequate representation of such children in the deliberations of the Council; and

(B) not less than 50 percent of the members of the Council are individuals who are not State employees or providers of mental health services.

V. Section 1915:

(a)(1) State will make available to the State mental health planning council for its review under section 1914 the State plan submitted under section 1912(a) with respect to the grant and the report of the State under section 1942(a) concerning the preceding fiscal year.

(2) The State will submit to the Secretary any recommendations received by the State from the Council for modifications to the State plan submitted under section 1912(a) (without regard to whether the State has made the recommended modifications) and comments on the State plan implementation report on the preceding fiscal year under section 1942(a).

(b)(1) The State will maintain State expenditures for community mental health services at a level that is not less than the average level of such expenditures maintained by the State for the 2-year period preceding the fiscal year for which the State is applying for the grant.

VI. Section 1916:

(a) The State agrees that it will not expend the grant:

(1) to provide inpatient services;

(2) to make cash payments to intended recipients of health services;

(3) to purchase or improve land, purchase, construct, or permanently improve (other than minor remodeling) any building or other facility, or purchase major medical equipment;

(4) to satisfy any requirement for the expenditure of non-Federal funds as a condition of the receipt of Federal funds; or

(5) to provide financial assistance to any entity other than a public or nonprofit entity.

(b) The State agrees to expend not more than 5 percent of the grant for administrative expenses with respect to the grant.

VII. Section 1941:

The State will make the plan required in section 1912 as well as the State plan implementation report for the preceding fiscal year required under Section 1942(a) public within the State in such manner as to facilitate comment from any person (including any Federal or other public agency) during the development of the plan (including any revisions) and after the submission of the plan to the Secretary.

VIII. Section 1942:

(a) The State agrees that it will submit to the Secretary a report in such form and containing such information as the Secretary determines (after consultation with the States) to be necessary for securing a record and description of:

(1) the purposes for which the grant received by the State for the preceding fiscal year under the program involved were expended and a description of the activities of the State under the program; and

(2) the recipients of amounts provided in the grant.

(b) The State will, with respect to the grant, comply with Chapter 75 of Title 31, United States Code. [Audit Provision]

(c) The State will:

(1) make copies of the reports and audits described in this section available for public inspection within the State; and

(2) provide copies of the report under subsection (a), upon request, to any interested person (including any public agency).

IX. Section 1943:

(1)(A) for the fiscal year for which the grant involved is provided, provide for independent peer review to assess the quality, appropriateness, and efficacy of treatment services provided in the State to individuals under the program involved; and

(B) ensure that, in the conduct of such peer review, not fewer than 5 percent of the entities providing services in the State under such program are reviewed (which 5 percent is representative of the total population of such entities);

(2) permit and cooperate with Federal investigations undertaken in accordance with section 1945 [Failure to Comply with Agreements]; and

(3) provide to the Secretary any data required by the Secretary pursuant to section 505 and will cooperate with the Secretary in the development of uniform criteria for the collection of data pursuant to such section

(b) The State has in effect a system to protect from inappropriate disclosure patient records maintained by the State in connection with an activity funded under the program involved or by any entity, which is receiving amounts from the grant.

Notice: Should the President's FY 2008 Budget be enacted, the following statement applies only to States that received the Mental Health Transformation State Infrastructure Grants:

This Agreement certifies that States that received the Mental Health Transformation State Infrastructure Grants shall not use FY 2008 Mental Health Block Grant transformation funding to supplant activities funded by the Mental Health Transformation Infrastructure Grants.

Name	Palmer DePaulis
Title	Executive Director
Organization	Utah Department of Human Services

Signature: Palmer DePaulis Date: 9/4/2012

Footnotes:

I: State Information

Assurances - Non-Construction Programs

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Note: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States, and if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standard or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the nineteen statutes or regulations specified in Appendix A of OPM's Standard for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §§794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to non-discrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Title II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetland pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clear Air) Implementation Plans under Section 176(c) of the Clear Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended, (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended, (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).

14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act of 1984.
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations and policies governing this program.

Name	<input type="text" value="Palmer DePaulis"/>
Title	<input type="text" value="Executive Director"/>
Organization	<input type="text" value="Utah Department of Human Services"/>

Signature: _____ Date: _____

Footnotes:

Signed copies attached as a pdf and forwarded via FED EX on 9/4/12.
For questions or concerns, please contact Jenn Oxborrow at
801.232.4441.

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I: State Information

Certifications

1. Certification Regarding Debarment and Suspension

The undersigned (authorized official signing for the applicant organization) certifies to the best of his or her knowledge and belief, that the applicant, defined as the primary participant in accordance with 45 CFR Part 76, and its principals:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal Department or agency;
- b. have not within a 3-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- c. are not presently indicted or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (b) of this certification; and
- d. have not within a 3-year period preceding this application/proposal had one or more public transactions (Federal, State, or local) terminated for cause or default.

Should the applicant not be able to provide this certification, an explanation as to why should be placed after the assurances page in the application package.

The applicant agrees by submitting this proposal that it will include, without modification, the clause titled "Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion--Lower Tier Covered Transactions" in all lower tier covered transactions (i.e., transactions with subgrantees and/or contractors) and in all solicitations for lower tier covered transactions in accordance with 45 CFR Part 76.

2. Certification Regarding Drug-Free Workplace Requirements

The undersigned (authorized official signing for the applicant organization) certifies that the applicant will, or will continue to, provide a drug-free work-place in accordance with 45 CFR Part 76 by:

- a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the grantee's work-place and specifying the actions that will be taken against employees for violation of such prohibition;
- b. Establishing an ongoing drug-free awareness program to inform employees about--
 1. The dangers of drug abuse in the workplace;
 2. The grantee's policy of maintaining a drug-free workplace;
 3. Any available drug counseling, rehabilitation, and employee assistance programs; and
 4. The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a) above;
- d. Notifying the employee in the statement required by paragraph (a), above, that, as a condition of employment under the grant, the employee will--
 1. Abide by the terms of the statement; and
 2. Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
- e. Notifying the agency in writing within ten calendar days after receiving notice under paragraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
- f. Taking one of the following actions, within 30 calendar days of receiving notice under paragraph (d) (2), with respect to any employee who is so convicted?
 1. Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 2. Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
- g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).

For purposes of paragraph (f) regarding agency notification of criminal drug convictions, the DHHS has designated the following central point for receipt of such notices:

Office of Grants and Acquisition Management
Office of Grants Management
Office of the Assistant Secretary for Management and Budget

3. Certifications Regarding Lobbying

Title 31, United States Code, Section 1352, entitled "Limitation on use of appropriated funds to influence certain Federal contracting and financial transactions," generally prohibits recipients of Federal grants and cooperative agreements from using Federal (appropriated) funds for lobbying the Executive or Legislative Branches of the Federal Government in connection with a SPECIFIC grant or cooperative agreement. Section 1352 also requires that each person who requests or receives a Federal grant or cooperative agreement must disclose lobbying undertaken with non-Federal (non-appropriated) funds. These requirements apply to grants and cooperative agreements EXCEEDING \$100,000 in total costs (45 CFR Part 93).

The undersigned (authorized official signing for the applicant organization) certifies, to the best of his or her knowledge and belief, that:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federally appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. (If needed, Standard Form-LLL, "Disclosure of Lobbying Activities," its instructions, and continuation sheet are included at the end of this application form.)
3. The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

4. Certification Regarding Program Fraud Civil Remedies Act (PFCRA)

The undersigned (authorized official signing for the applicant organization) certifies that the statements herein are true, complete, and accurate to the best of his or her knowledge, and that he or she is aware that any false, fictitious, or fraudulent statements or claims may subject him or her to criminal, civil, or administrative penalties. The undersigned agrees that the applicant organization will comply with the Public Health Service terms and conditions of award if a grant is awarded as a result of this application.

5. Certification Regarding Environmental Tobacco Smoke

Public Law 103-227, also known as the Pro-Children Act of 1994 (Act), requires that smoking not be permitted in any portion of any indoor facility owned or leased or contracted for by an entity and used routinely or regularly for the provision of health, daycare, early childhood development services, education or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law also applies to children's services that are provided in indoor facilities that are constructed, operated, or maintained with such Federal funds. The law does not apply to children's services provided in private residence, portions of facilities used for inpatient drug or alcohol treatment, service providers whose sole source of applicable Federal funds is Medicare or Medicaid, or facilities where WIC coupons are redeemed.

Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1,000 for each violation and/or the imposition of an administrative compliance order on the responsible entity.

By signing the certification, the undersigned certifies that the applicant organization will comply with the requirements of the Act and will not allow smoking within any portion of any indoor facility used for the provision of services for children as defined by the Act.

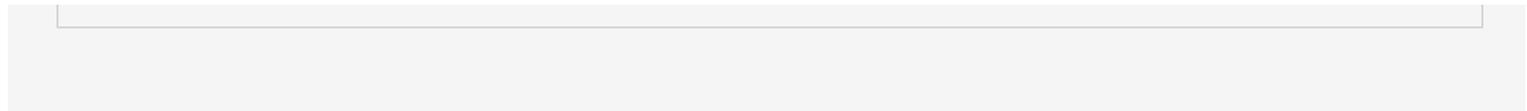
The applicant organization agrees that it will require that the language of this certification be included in any subawards which contain provisions for children's services and that all subrecipients shall certify accordingly.

The Public Health Services strongly encourages all grant recipients to provide a smoke-free workplace and promote the non-use of tobacco products. This is consistent with the PHS mission to protect and advance the physical and mental health of the American people.

Name	<input type="text" value="Palmer DePaulis"/>
Title	<input type="text" value="Executive Director"/>
Organization	<input type="text" value="Utah Department of Human Services"/>

Signature: _____ Date: _____

Footnotes:



I: State Information

Chief Executive Officer's Funding Agreements/Certifications (Form 3) [SA]

FY 2013 Substance Abuse Prevention and Treatment Block Grant Funding Agreements/Certifications as required by Title XIX, Part B, Subpart II and Subpart III of the Public Health Service (PHS) Act

Title XIX, Part B, Subpart II and Subpart III of the PHS Act, as amended, requires the chief executive officer (or an authorized designee) of the applicant organization to certify that the State will comply with the following specific citations as summarized and set forth below, and with any regulations or guidelines issued in conjunction with this Subpart except as exempt by statute.

SAMHSA will accept a signature on this form as certification of agreement to comply with the cited provisions of the PHS Act. If signed by a designee, a copy of the designation must be attached.

- I. FORMULA GRANTS TO STATES, SECTION 1921
- II. Certain Allocations (Prevention Programs utilizing IOM populations : Pregnant women and women with dependent children) Section 1922
- III. INTRAVENOUS DRUG ABUSE, SECTION 1923
- IV. REQUIREMENTS REGARDING TUBERCULOSIS AND HUMAN IMMUNODEFICIENCY VIRUS, SECTION 1924
- V. Group Homes for Recovering Substance Abusers, Section 1925
- VI. State Law Regarding Sale of Tobacco Products to Individuals Under Age of 18, Section 1926
- VII. TREATMENT SERVICES FOR PREGNANT WOMEN, SECTION 1927
- VIII. ADDITIONAL AGREEMENTS(IMPROVED REFERRAL PROCESS, CONTINUING EDUCATION, COORDINATION OF ACTIVITIES AND SERVICES), SECTION 1928
- IX. IX SUBMISSION TO SECRETARY OF STATEWIDE ASSESSMENT OF NEEDS, SECTION 1929
- X. MAINTENANCE OF EFFORT REGARDING STATE EXPENDITURES, SECTION 1930
- XI. Restrictions on Expenditure of Grant, Section 1931
- XII. APPLICATION FOR GRANT; APPROVAL OF STATE PLAN, SECTION 1932
- XIII. Opportunity for Public Comment on State Plans, Section 1941
- XIV. Requirement of Reports and Audits by States, Section 1942
- XV. ADDITIONAL REQUIREMENTS, SECTION 1943
- XVI. Prohibitions Regarding Receipt of Funds, Section 1946
- XVII. Nondiscrimination, Section 1947
- XVIII. Continuation of Certain Programs, Section 1953

XIX. Services Provided By Nongovernmental Organizations, Section 1955

XX. Services for Individuals with Co-Occurring Disorders, Section 1956

I hereby certify that Utah will comply with Title XIX, Part B, Subpart II and Subpart III of the Public Health Service (PHS) Act, as amended, as summarized above, except for those sections in the PHS Act that do not apply or for which a waiver has been granted or may be granted by the Secretary for the period covered by this agreement.

Name	<input type="text" value="Palmer DePaulis"/>
Title	<input type="text" value="Executive Director"/>
Organization	<input type="text" value="Utah Department of Human Services"/>

Signature: _____ Date: _____

Footnotes:

I: State Information

Chief Executive Officer's Funding Agreements/Certifications (Form 3) [MH]

Community Mental Health Services Block Grant Funding Agreements FISCAL YEAR 2013

I hereby certify that Utah agrees to comply with the following sections of Title V of the Public Health Service Act [42 U.S.C. 300x-1 et seq.]

I. Section 1911:

Subject to Section 1916, the State will expend the grant only for the purpose of:

- i. Carrying out the plan under Section 1912(a) [State Plan for Comprehensive Community Mental Health Services] by the State for the fiscal year involved;
- ii. Evaluating programs and services carried out under the plan; and
- iii. Planning, administration, and educational activities related to providing services under the plan.

II. Section 1912:

(a)(1)&(2) [As a funding agreement for a grant under Section 1911 of this title] The Secretary establishes and disseminates definitions for the terms "adults with a serious mental illness" and "children with a severe emotional disturbance" and the States will utilize such methods [standardized methods, established by the Secretary] in making estimates [of the incidence and prevalence in the State of serious mental illness among adults and serious emotional disturbance among children].

III. Section 1913:

(a)(1)(C) In the case for a grant for fiscal year 2011, the State will expend for such system [of integrated services described in section 1912(b)(3)] not less than an amount equal to the amount expended by the State for the fiscal year 1994.

[A system of integrated social services, educational services, juvenile services and substance abuse services that, together with health and mental health services, will be provided in order for such children to receive care appropriate for their multiple needs (which includes services provided under the Individuals with Disabilities Education Act)].

(b)(1) The State will provide services under the plan only through appropriate, qualified community programs (which may include community mental health centers, child mental-health programs, psychosocial rehabilitation programs, mental health peer-support programs, and mental-health primary consumer-directed programs).

(b)(2) The State agrees that services under the plan will be provided through community mental health centers only if the centers meet the criteria specified in subsection (c).

(C)(1) With respect to mental health services, the centers provide services as follows:

- (A) Services principally to individuals residing in a defined geographic area (referred to as a "service area")
- (B) Outpatient services, including specialized outpatient services for children, the elderly, individuals with a serious mental illness, and residents of the service areas of the centers who have been discharged from inpatient treatment at a mental health facility.
- (C) 24-hour-a-day emergency care services.
- (D) Day treatment or other partial hospitalization services, or psychosocial rehabilitation services.
- (E) Screening for patients being considered for admissions to State mental health facilities to determine the appropriateness of such admission.

(2) The mental health services of the centers are provided, within the limits of the capacities of the centers, to any individual residing or employed in the service area of the center regardless of ability to pay for such services.

(3) The mental health services of the centers are available and accessible promptly, as appropriate and in a manner which preserves human dignity and assures continuity and high quality care.

IV. Section 1914:

The State will establish and maintain a State mental health planning council in accordance with the conditions described in this section.

(b) The duties of the Council are:

- (1) to review plans provided to the Council pursuant to section 1915(a) by the State involved and to submit to the State any recommendations of the Council for modifications to the plans;
- (2) to serve as an advocate for adults with a serious mental illness, children with a severe emotional disturbance, and other individuals with mental illness or emotional problems; and
- (3) to monitor, review, and evaluate, not less than once each year, the allocation and adequacy of mental health services within the State.

(c)(1) A condition under subsection (a) for a Council is that the Council is to be composed of residents of the State, including representatives of:

(A) the principle State agencies with respect to:

(i) mental health, education, vocational rehabilitation, criminal justice, housing, and social services; and

(ii) the development of the plan submitted pursuant to Title XIX of the Social Security Act;

(B) public and private entities concerned with the need, planning, operation, funding, and use of mental health services and related support services;

(C) adults with serious mental illnesses who are receiving (or have received) mental health services; and

(D) the families of such adults or families of children with emotional disturbance.

(2) A condition under subsection (a) for a Council is that:

(A) with respect to the membership of the Council, the ratio of parents of children with a serious emotional disturbance to other members of the Council is sufficient to provide adequate representation of such children in the deliberations of the Council; and

(B) not less than 50 percent of the members of the Council are individuals who are not State employees or providers of mental health services.

V. Section 1915:

(a)(1) State will make available to the State mental health planning council for its review under section 1914 the State plan submitted under section 1912(a) with respect to the grant and the report of the State under section 1942(a) concerning the preceding fiscal year.

(2) The State will submit to the Secretary any recommendations received by the State from the Council for modifications to the State plan submitted under section 1912(a) (without regard to whether the State has made the recommended modifications) and comments on the State plan implementation report on the preceding fiscal year under section 1942(a).

(b)(1) The State will maintain State expenditures for community mental health services at a level that is not less than the average level of such expenditures maintained by the State for the 2-year period preceding the fiscal year for which the State is applying for the grant.

VI. Section 1916:

(a) The State agrees that it will not expend the grant:

(1) to provide inpatient services;

(2) to make cash payments to intended recipients of health services;

(3) to purchase or improve land, purchase, construct, or permanently improve (other than minor remodeling) any building or other facility, or purchase major medical equipment;

(4) to satisfy any requirement for the expenditure of non-Federal funds as a condition of the receipt of Federal funds; or

(5) to provide financial assistance to any entity other than a public or nonprofit entity.

(b) The State agrees to expend not more than 5 percent of the grant for administrative expenses with respect to the grant.

VII. Section 1941:

The State will make the plan required in section 1912 as well as the State plan implementation report for the preceding fiscal year required under Section 1942(a) public within the State in such manner as to facilitate comment from any person (including any Federal or other public agency) during the development of the plan (including any revisions) and after the submission of the plan to the Secretary.

VIII. Section 1942:

(a) The State agrees that it will submit to the Secretary a report in such form and containing such information as the Secretary determines (after consultation with the States) to be necessary for securing a record and description of:

(1) the purposes for which the grant received by the State for the preceding fiscal year under the program involved were expended and a description of the activities of the State under the program; and

(2) the recipients of amounts provided in the grant.

(b) The State will, with respect to the grant, comply with Chapter 75 of Title 31, United States Code. [Audit Provision]

(c) The State will:

(1) make copies of the reports and audits described in this section available for public inspection within the State; and

(2) provide copies of the report under subsection (a), upon request, to any interested person (including any public agency).

IX. Section 1943:

(1)(A) for the fiscal year for which the grant involved is provided, provide for independent peer review to assess the quality, appropriateness, and efficacy of treatment services provided in the State to individuals under the program involved; and

(B) ensure that, in the conduct of such peer review, not fewer than 5 percent of the entities providing services in the State under such program are reviewed (which 5 percent is representative of the total population of such entities);

(2) permit and cooperate with Federal investigations undertaken in accordance with section 1945 [Failure to Comply with Agreements]; and

(3) provide to the Secretary any data required by the Secretary pursuant to section 505 and will cooperate with the Secretary in the development of uniform criteria for the collection of data pursuant to such section

(b) The State has in effect a system to protect from inappropriate disclosure patient records maintained by the State in connection with an activity funded under the program involved or by any entity, which is receiving amounts from the grant.

Notice: Should the President's FY 2008 Budget be enacted, the following statement applies only to States that received the Mental Health Transformation State Infrastructure Grants:

This Agreement certifies that States that received the Mental Health Transformation State Infrastructure Grants shall not use FY 2008 Mental Health Block Grant transformation funding to supplant activities funded by the Mental Health Transformation Infrastructure Grants.

Name	Palmer DePaulis
Title	Executive Director
Organization	Utah Department of Human Services

Signature: _____ Date: _____

Footnotes:

I: State Information

Disclosure of Lobbying Activities (SF-LLL)

To View Standard Form LLL, Click the link below (This form is OPTIONAL)

[Standard Form LLL \(click here\)](#)

Footnotes:

III: Use of Block Grant Dollars for Block Grant Activities

Table 6 MH - Primary Prevention Planned Expenditures Checklist

Page 34 of the Application Guidance

Start Year:

End Year:

Strategy	IOM Target	MHBG Block Grant	Other Federal	State	Local	Other
Information Dissemination	Universal	\$ <input type="text"/>	\$ <input type="text" value="0"/>			
Information Dissemination	Selective	\$ <input type="text"/>	\$ <input type="text" value="0"/>			
Information Dissemination	Indicated	\$ <input type="text"/>	\$ <input type="text" value="0"/>			
Information Dissemination	Unspecified	\$ <input type="text" value="30,000"/>	\$ <input type="text" value="0"/>			
Information Dissemination	Total	\$30,000	\$0	\$0	\$0	\$0
Education	Universal	\$ <input type="text"/>	\$ <input type="text" value="0"/>			
Education	Selective	\$ <input type="text"/>	\$ <input type="text" value="0"/>			
Education	Indicated	\$ <input type="text"/>	\$ <input type="text" value="0"/>			
Education	Unspecified	\$ <input type="text" value="386,750"/>	\$ <input type="text" value="0"/>			
Education	Total	\$386,750	\$0	\$0	\$0	\$0
Alternatives	Universal	\$ <input type="text"/>	\$ <input type="text" value="0"/>			
Alternatives	Selective	\$ <input type="text"/>	\$ <input type="text" value="0"/>			
Alternatives	Indicated	\$ <input type="text"/>	\$ <input type="text" value="0"/>			
Alternatives	Unspecified	\$ <input type="text" value="305,000"/>	\$ <input type="text" value="0"/>			
Alternatives	Total	\$305,000	\$0	\$0	\$0	\$0
Problem Identification and Referral	Universal	\$ <input type="text"/>	\$ <input type="text" value="0"/>			
Problem Identification and Referral	Selective	\$ <input type="text"/>	\$ <input type="text" value="0"/>			
Problem Identification and Referral	Indicated	\$ <input type="text"/>	\$ <input type="text" value="0"/>			
Problem Identification and Referral	Unspecified	\$ <input type="text" value="180,900"/>	\$ <input type="text" value="0"/>			
Problem Identification and Referral	Total	\$180,900	\$0	\$0	\$0	\$0

Community-Based Process	Universal	\$ <input type="text"/>	\$0 <input type="text"/>	\$0 <input type="text"/>	\$0 <input type="text"/>	\$0 <input type="text"/>
Community-Based Process	Selective	\$ <input type="text"/>	\$0 <input type="text"/>	\$0 <input type="text"/>	\$0 <input type="text"/>	\$0 <input type="text"/>
Community-Based Process	Indicated	\$ <input type="text"/>	\$0 <input type="text"/>	\$0 <input type="text"/>	\$0 <input type="text"/>	\$0 <input type="text"/>
Community-Based Process	Unspecified	\$150,000	\$0 <input type="text"/>	\$0 <input type="text"/>	\$0 <input type="text"/>	\$100,000
Community-Based Process	Total	\$150,000	\$0	\$0	\$0	\$100,000
Environmental	Universal	\$ <input type="text"/>	\$0 <input type="text"/>	\$0 <input type="text"/>	\$0 <input type="text"/>	\$0 <input type="text"/>
Environmental	Selective	\$ <input type="text"/>	\$0 <input type="text"/>	\$0 <input type="text"/>	\$0 <input type="text"/>	\$0 <input type="text"/>
Environmental	Indicated	\$ <input type="text"/>	\$0 <input type="text"/>	\$0 <input type="text"/>	\$0 <input type="text"/>	\$0 <input type="text"/>
Environmental	Unspecified	\$ <input type="text"/>	\$0 <input type="text"/>	\$0 <input type="text"/>	\$0 <input type="text"/>	\$0 <input type="text"/>
Environmental	Total	\$	\$0	\$0	\$0	\$0
Section 1926 Tobacco	Universal	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>
Section 1926 Tobacco	Selective	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>
Section 1926 Tobacco	Indicated	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>
Section 1926 Tobacco	Unspecified	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>
Section 1926 Tobacco	Total	\$	\$	\$	\$	\$
Other	Universal	\$ <input type="text"/>	\$0 <input type="text"/>	\$0 <input type="text"/>	\$0 <input type="text"/>	\$0 <input type="text"/>
Other	Selective	\$ <input type="text"/>	\$0 <input type="text"/>	\$0 <input type="text"/>	\$0 <input type="text"/>	\$0 <input type="text"/>
Other	Indicated	\$ <input type="text"/>	\$0 <input type="text"/>	\$0 <input type="text"/>	\$0 <input type="text"/>	\$0 <input type="text"/>
Other	Unspecified	\$195,336	\$0 <input type="text"/>	\$0 <input type="text"/>	\$0 <input type="text"/>	\$0 <input type="text"/>
Other	Total	\$195,336	\$0	\$0	\$0	\$0

Footnotes:

Planned expenditures reported herein include Mental Health Block grant funding allocated for FY13 to the efforts of youth and family advocacy (Family Resource facilitators and Early Project Empower), suicide prevention awareness, peer support training, peer conference development, Crisis Intervention training, and a new collaborative project aimed at prevention and promotion. Utah is excited to announce our efforts over a three-year period to partner with a consumer organization to conduct a state wide needs and strengths assessment aimed at preventing mental illness and promoting mental health. As this project is newly underway, the numbers reflected in this form reflect our efforts and are intended to be targeted at universal, selective and indicated populations in 2013 and 2014 as the assessment indicates. We are following a Communities That Care model and expect our data to be conclusive by October 2012, at which point we will begin phase two of the project.

III: Use of Block Grant Dollars for Block Grant Activities

Table 6 SA - Primary Prevention Planned Expenditures Checklist

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Strategy	IOM Target	SAPT Block Grant	Other Federal	State	Local	Other
Information Dissemination	Universal	\$246,288	\$144,521	\$140,460	\$0	\$0
Information Dissemination	Selective	\$290,856	\$170,673	\$165,877	\$0	\$0
Information Dissemination	Indicated	\$247,893	\$145,463	\$141,375	\$0	\$0
Information Dissemination	Unspecified	\$0	\$0	\$0	\$0	\$0
Information Dissemination	Total	\$785,037	\$460,657	\$447,712	\$0	\$0
Education	Universal	\$479,734	\$281,506	\$273,596	\$0	\$0
Education	Selective	\$566,545	\$332,447	\$323,105	\$0	\$0
Education	Indicated	\$482,859	\$283,341	\$275,379	\$0	\$0
Education	Unspecified	\$0	\$0	\$0	\$0	\$0
Education	Total	\$1,529,138	\$897,294	\$872,080	\$0	\$0
Alternatives	Universal	\$74,616	\$43,784	\$42,554	\$0	\$0
Alternatives	Selective	\$88,118	\$51,707	\$50,254	\$0	\$0
Alternatives	Indicated	\$75,102	\$44,070	\$42,831	\$0	\$0
Alternatives	Unspecified	\$0	\$0	\$0	\$0	\$0
Alternatives	Total	\$237,836	\$139,561	\$135,639	\$0	\$0
Problem Identification and Referral	Universal	\$103,968	\$61,008	\$59,294	\$0	\$0
Problem Identification and Referral	Selective	\$122,782	\$72,048	\$70,023	\$0	\$0
Problem Identification and Referral	Indicated	\$104,646	\$61,406	\$59,680	\$0	\$0
Problem Identification and Referral	Unspecified	\$0	\$0	\$0	\$0	\$0
Problem Identification and Referral	Total	\$331,396	\$194,462	\$188,997	\$0	\$0
Community-Based Process	Universal	\$105,324	\$61,804	\$60,067	\$0	\$0

Community-Based Process	Selective	\$124,383	\$72,988	\$70,937	\$0	\$0
Community-Based Process	Indicated	\$106,010	\$62,207	\$60,459	\$0	\$0
Community-Based Process	Unspecified	\$0	\$0	\$0	\$0	\$0
Community-Based Process	Total	\$335,717	\$196,999	\$191,463	\$0	\$0
Environmental	Universal	\$59,360	\$34,832	\$33,854	\$0	\$0
Environmental	Selective	\$70,102	\$41,136	\$39,980	\$0	\$0
Environmental	Indicated	\$59,747	\$35,059	\$34,074	\$0	\$0
Environmental	Unspecified	\$0	\$0	\$0	\$0	\$0
Environmental	Total	\$189,209	\$111,027	\$107,908	\$0	\$0
Section 1926 Tobacco	Universal	\$0	\$0	\$0	\$0	\$0
Section 1926 Tobacco	Selective	\$0	\$0	\$0	\$0	\$0
Section 1926 Tobacco	Indicated	\$0	\$0	\$0	\$0	\$0
Section 1926 Tobacco	Unspecified	\$0	\$0	\$0	\$0	\$0
Section 1926 Tobacco	Total	\$0	\$0	\$0	\$0	\$0
Other	Universal	\$0	\$0	\$0	\$0	\$0
Other	Selective	\$0	\$0	\$0	\$0	\$0
Other	Indicated	\$0	\$0	\$0	\$0	\$0
Other	Unspecified	\$0	\$0	\$0	\$0	\$0
Other	Total	\$0	\$0	\$0	\$0	\$0

Footnotes:

III: Use of Block Grant Dollars for Block Grant Activities

Table 7 MH - Projected State Agency Expenditure Report

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Start Year:

End Year:

Activity	A. Block Grant	B. Medicaid (Federal, State, and Local)	C. Other Federal Funds (e.g., ACF (TANF), CDC, CMS (Medicare) SAMHSA, etc.)	D. State Funds	E. Local Funds (excluding local Medicaid)	F. Other
1. Substance Abuse Prevention and Treatment	\$	\$	\$	\$	\$	\$
2. Primary Prevention	\$ <input type="text" value="820,000"/>	\$ <input type="text" value="0"/>	\$ <input type="text" value="0"/>	\$ <input type="text" value="0"/>	\$ <input type="text" value="0"/>	\$ <input type="text" value="100,000"/>
3. Tuberculosis Services	\$	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>
4. HIV Early Intervention Services	\$	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>
5. State Hospital	\$	\$ <input type="text" value="0"/>	\$ <input type="text" value="0"/>	\$ <input type="text" value="0"/>	\$ <input type="text" value="0"/>	\$ <input type="text" value="0"/>
6. Other 24 Hour Care	\$ <input type="text" value="0"/>	\$ <input type="text" value="0"/>	\$ <input type="text" value="0"/>	\$ <input type="text" value="0"/>	\$ <input type="text" value="0"/>	\$ <input type="text" value="0"/>
7. Ambulatory/Community Non-24 Hour Care	\$ <input type="text" value="0"/>	\$ <input type="text" value="0"/>	\$ <input type="text" value="0"/>	\$ <input type="text" value="0"/>	\$ <input type="text" value="0"/>	\$ <input type="text" value="0"/>
8. Administration (Excluding Program and Provider Level)	\$ <input type="text" value="231,200"/>	\$ <input type="text" value="0"/>	\$ <input type="text" value="0"/>	\$ <input type="text" value="0"/>	\$ <input type="text" value="0"/>	\$ <input type="text" value="0"/>
9. Subtotal (Rows 1, 2, 3, 4, and 8)	\$1,051,200	\$0	\$0	\$0	\$0	\$100,000
10. Subtotal (Rows 5, 6, 7, and 8)	\$231,200	\$0	\$0	\$0	\$0	\$0
11. Total	\$1,051,200	\$0	\$0	\$0	\$0	\$100,000

Please indicate the expenditures are actual or estimated.

Actual Estimated

Footnotes:

III: Use of Block Grant Dollars for Block Grant Activities

Table 7 SA - Projected State Agency Expenditure Report

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Activity	A. SA Block Grant	B. Medicaid (Federal, State, and Local)	C. Other Federal Funds (e.g., ACF (TANF), CDC, CMS (Medicare) SAMHSA, etc.)	D. State Funds	E. Local Funds (excluding local Medicaid)	F. Other
1. Substance Abuse Prevention and Treatment	\$11,889,135	\$8,000,000	\$0	\$16,507,500	\$0	\$0
2. Primary Prevention	\$3,408,333	\$0	\$2,000,000	\$1,943,800	\$0	\$0
3. Tuberculosis Services	\$0	\$0	\$0	\$0	\$0	\$0
4. HIV Early Intervention Services	\$0	\$0	\$0	\$0	\$0	\$0
5. State Hospital	\$	\$0	\$0	\$0	\$0	\$0
6. Other 24 Hour Care	\$	\$	\$	\$	\$	\$
7. Ambulatory/Community Non-24 Hour Care	\$	\$	\$	\$	\$	\$
8. Administration (Excluding Program and Provider Level)	\$805,130	\$0	\$0	\$582,000	\$0	\$0
9. Subtotal (Rows 1, 2, 3, 4, and 8)	\$16,102,598	\$8,000,000	\$2,000,000	\$19,033,300	\$0	\$0
10. Subtotal (Rows 5, 6, 7, and 8)	\$805,130	\$0	\$0	\$582,000	\$0	\$0
11. Total	\$16,102,598	\$8,000,000	\$2,000,000	\$19,033,300	\$0	\$0

Please indicate the expenditures are actual or estimated.

Actual Estimated

Please identify which of the information in Table 4a is estimated rather than actual:

Identify the date by when all estimates can be replaced with actual expenditures:

Footnotes:

III: Use of Block Grant Dollars for Block Grant Activities

Table 8 Resource Development Planned Expenditure Checklist

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Start Year:

End Year:

Activity	A. Prevention-MH	B. Prevention-SA	C. Treatment-MH	D. Treatment-SA	E. Combined	F. Total
1. Planning, Coordination and Needs Assessment	\$ <input type="text" value="0"/>	\$ <input type="text" value=""/>	\$0			
2. Quality Assurance	\$ <input type="text" value="0"/>	\$ <input type="text" value=""/>	\$0			
3. Training (Post-Employment)	\$ <input type="text" value="0"/>	\$ <input type="text" value="0"/>	\$ <input type="text" value="0"/>	\$ <input type="text" value="375,000"/>	\$ <input type="text" value=""/>	\$375,000
4. Education (Pre-Employment)	\$ <input type="text" value="0"/>	\$ <input type="text" value=""/>	\$0			
5. Program Development	\$ <input type="text" value="0"/>	\$ <input type="text" value="0"/>	\$ <input type="text" value="0"/>	\$ <input type="text" value="65,000"/>	\$ <input type="text" value=""/>	\$65,000
6. Research and Evaluation	\$ <input type="text" value="0"/>	\$ <input type="text" value=""/>	\$0			
7. Information Systems	\$ <input type="text" value="0"/>	\$ <input type="text" value="0"/>	\$ <input type="text" value="0"/>	\$ <input type="text" value="50,000"/>	\$ <input type="text" value=""/>	\$50,000
8. Total	\$0	\$0	\$0	\$490,000	\$	\$490,000

Footnotes:

IV: Narrative Plan

Table 11 List of Advisory Council Members

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Start Year:

2013

End Year:

2014

Name	Type of Membership	Agency or Organization Represented	Address, Phone, and Fax	Email (if available)
No Data Available				

Footnotes:

Please find this table as an attachment in section O of the attachemtns for the 2013 mini application (Utah Planning and Advisory Council Membership.pdf). If you have any questions or concerns, please contact Jenn Oxborrow at 801.538.4519.

UTAH BEHAVIORAL HEALTH PLANNING AND ADVISORY COUNCIL MEMBERSHIP
September 2012

NAME	REPRESENTATION	MAILING ADDRESS	PHONE/FAX	E-MAIL
Aaron GARNER	Consumer	DBSA Salt Lake 406 East 300 South #176 Salt Lake City, UT 84103	801-413-9967	dbsa-saltlake@comcast.net
Alan MISBACH	State Agency Partner	Utah State Hospital 1300 East Center Street Provo UT 84603	801-344-4578	amisbach@utah.gov
Jenifer Lloyd	Community Health Care Administrative Partner	Association of Utah Community Health 860 East 4500 South, Suite 206 Salt Lake City, UT 84107	801-386-1579	jenifer@auch.org
Dr. Allison NITSCHKE	Treatment Provider, Children and Adolescent Psychiatric Care	Intermountain Healthcare 36 S State St 22 nd Floor SLC UT 84111-1486	801-786-9368	Allison.nitsche@imail.org
Amber WATKINS	Advocate	National Alliance on Mental Illness-Utah 1600 West 2200 S, Suite 202 West Valley City, Utah 84119	Office Number (801) 323-9900	amber@namiut.org
Amanda THOMPSON	Consumer	Empowerment Services Peer-run organization	801-870-8877	Amanda@empowermentservices.org
Brandon HATCH	Treatment Provider	Davis Behavioral Health 934 South Main Layton UT 84041	801-773-7060	brandonh@dbh.utah.gov
Brooke WILSON	Consumer		801-735-3870	Gapregion6@yahoo.com
Carol ANDERSON	State Agency Partner	Utah State Office of Education 250 East 500 South P.O. Box 144200 Salt Lake City, Utah 84114-	(801) 538-7727	Carol.anderson@schools.utah.gov

		4200		
Carol BUFFI	Treatment Provider	Salt Lake Legal Defenders Association 424 E 500 S # 300 Salt Lake City, UT 84111	801-428-1836	cbuffi@sllda.com
Carol RUDELL	State Agency Partner	Work Ability Utah Buffmire Building 1595 West 500 South Salt Lake City UT 84104	801-887-9388	cruddell@utah.gov
Damon POLK	Tribal Advocate	Paiute Tribe Behavioral Care Dept. 440 North Paiute Drive Cedar City, UT 84720 (435) 586-1112 Ext: 503	435-586-1112	Damon.polk@ihs.gov
Dan BRAUN	Treatment Provider	Alliance House 1724 South Main Street Salt Lake City, UT 84115	801-486-5012	danielb@vmh.com
Delia ROCHON	Administrator of Treatment Provider Services	Intermountain Healthcare 36 S State St 22 nd Floor SLC UT 84111-1486	801-442-3798 Fax 801-442-3826 801-718-9220 Cell	Delia.rochon@imail.org
Eileen MALONEY	Advocate	Disability Law Center 205 North 400 West Salt Lake City UT 84103	801-363-1347 Ex.3202 Fax 801-363-1437	emaloney@disabilitylawcenter.org
Elaine TOTTEN	State Agency Partner	Division of Child and Family Services 6100 Fashion Boulevard Murray, UT 84107-6159	801-916-9155	elainetotten@utah.gov
Eliot SYKES	Tribal Advocate, Treatment Provider	910 South 7500 East Fort Duchesne, UT 84026	801-824-1939	eliots@utetribe.com
Ginger Phillips	Consumer		801-503-8920	Gingerspice72@msn.com
Karen FORD	State Agency Partner	Medicaid Health Care Financing 288 North 1460 West Salt Lake City UT 84116	801-538-6637 Fax 801- 538-6099	kford@utah.gov
Kevin FOOTE	Consumer		(435)224-2878	KEVIN_CPSS_1982@Comcast.net

Kim MYERS	Advocate	National Alliance on Mental Illness-Utah 1600 West 2200 S, Suite 202 West Valley City, Utah 84119	Office Number (801) 323-9900 Direct Number (801) 869-2877	kimm@namiut.org
Kyle WALKER	State Agency Partner	Utah State Office of Vocational Rehabilitation 250 East 500 South Salt Lake City, UT	801-538-7837	kjwalker@utah.gov
Lori CERAR, Chairperson	Advocate, Family Member	Allies With Families 505 East 200 South STE 25 SLC, UT 84102-2075	801-433-2595 Fax 801-521- 0872	lori@allieswithfamilies.org
Lynda KRAUSE	Consumer		801-918-5909	kraushaus95@hotmail.com
MaryJo MCMILLAN	Consumer	Utah Support Advocates for Recovery Awareness / USARA 198 West 7200 South Ste D Salt Lake City, UT 84047	office (855) 698- 7272 cell (801) 839-9950	maryjo@usara.us
Michelle VANCE	Youth Consumer		801-558-1540	Nmicole1540@gmail.com
Mike CONN	State Agency Partner	Utah Division of Juvenile Justice Services 195 North 1950 West Salt Lake City, UT 84116	801-538-4302	mconn@utah.gov
Miriam HYDE	Consumer	We Get Disability 2761 East 4135 South Salt Lake City UT 84124	801-274-0957 801-979-7059 Cell	Miriamehyde54@gmail.com
Dr. Patrick PANOS	State Agency Partner	University of Utah College of Social Work 395 S 1500 E # 111 Salt Lake City, UT 84112	(801) 581-4857	Patrickpanos@gmail.com
Peace CAMBRIA	Consumer			peacecambria@yahoo.com
Rebecca GLATHAR	Advocate	National Alliance on Mental Illness-Utah 1600 West 2200 S, Suite 202	801-323-9900 Fax 801-323-9799	Rebecca@namiut.org

		West Valley City, Utah 84119		
Ron BRUNO	State Agency Partner	SLC Police Department CIT Utah 315 East 200 South PO BOX 145497 Salt Lake City UT 84111	801-799-3709 Fax 801-799-4311	Ron.bruno@slcgov.com
Sarah STONE	Advocate	Allies With Families 505 East 200 South STE 25 SLC, UT 84102-2075	801-837-8413	sarah@allieswithfamilies.org
Dr. Scott BOYLE	State Agency Partner	University of Utah College of Social Work 395 S 1500 E # 111 Salt Lake City, UT 84112	(801) 581-4857	Scott.boyle@socwk.utah.edu
Tammy AMES	State Agency Partner	Utah State Office of Vocational Rehabilitation 250 East 500 South Salt Lake City, UT	801-538-7534	Tames@utah.gov
Tyler Goddard	Tribal Advocate	Paiute Tribe Behavioral Care Dept. 440 North Paiute Drive Cedar City, UT 84720 (435) 586-1112 Ext: 503	435-586-1112	Tyler.goddard@ihs.gov
Valerie FRITZ	Treatment Provider	House of Hope 857 East 200 South Salt Lake City, UT 84102	801-979-5418	vfritz@houseofhopeut.org
		DSAMH STAFF to the Utah Behavioral Health Planning and Advisory Council		
NAME	REPRESENTATION	MAILING ADDRESS	PHONE/FAX	E-MAIL
Jenn Oxborrow	Program Manager	195 North 1950 West Salt Lake City, UT 84116	801-538-4519 801-538-4696 Fax	joxborrow@utah.gov
Lana Stohl	Division Director Substance Abuse & Mental	195 North 1950 West Salt Lake City, UT 84116	801-538-4025 801-538-9892 Fax	lstohl@utah.gov

	Health			
Doug Thomas	Assistant Director Mental Health	195 North 1950 West Salt Lake City, UT 84116	801-538-4298 801-538-9892 Fax	dothomas@utah.gov
Brent Kelsey	Assistant Director of Substance Abuse Prevention and Treatment	195 North 1950 West Salt Lake City, UT 84116	801-538-4305 Fax 801-538-9892	bkelsey@utah.gov
Dave Felt	Program Administrator Substance Abuse Treatment	195 North 1950 West Salt Lake City, UT 84116	801-538-4379 Fax 801-538-9892	ddfelt@utah.gov
Paul Korth	Administrative Services Director	195 North 1950 West Salt Lake City, UT 84116	801-538-9844 Fax 801-538-9892	pkorth@utah.gov
Roy Castelli	*Consumer, Consumer Affairs Manager	195 North 1950 West Salt Lake City, UT 84116	801-538-4378 801-538-4696 Fax	rcastelli@utah.gov
Susan Hardinger	Executive Secretary	195 North 1950 West Salt Lake City, UT 84116	801-538-4367 801-538-4696 Fax	shardinger@utah.gov
Carmen Lloyd	Support Staff	195 North 1950 West Salt Lake City, UT 84116	801-538-8252 or 3939 801-538-4696 Fax	cklloyd@utah.gov

IV: Narrative Plan

Table 12 Behavioral Health Advisory Council Composition by Type of Member

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Start Year:

End Year:

Type of Membership	Number	Percentage
Total Membership	1	
Individuals in Recovery (from Mental Illness and Addictions)	0	
Family Members of Individuals in Recovery (from Mental Illness and Addictions)	0	
Vacancies (Individuals and Family Members)	<input type="text" value="0"/>	
Others (Not State employees or providers)	1	
Total Individuals in Recovery, Family Members & Others	1	100%
State Employees	0	
Providers	0	
Leading State Experts	0	
Federally Recognized Tribe Representatives	0	
Vacancies	<input type="text" value="0"/>	
Total State Employees & Providers	0	0%

Indicate how the Planning Council was involved in the review of the application. Did the Planning Council make any recommendations to modify the application?

The Utah Behavioral Health Planning and Advisory Council meets monthly and is currently undergoing transformation efforts. All Block Grant updates are reviewed with the Council and feedback is encouraged and reflected in the submission to SAMHSA. The Division appreciates and respects the advisory and planning support of the Council, and is supportive of a strong, ongoing relationship with the Council and the Transformation Committee.

Footnotes:

We are unable to alter this form to reflect updates in our Planning and Advisory Council composition. Please see the new attachment to this application, which details our Council membership. Currently, the Utah Behavioral Health Planning and Advisory Council is comprised of 37 individuals, 10 of whom identify as peers with a recovery story and/or consumers of public behavioral health services. Many other Council members identify as advocates, and Utah currently has a strong Council support from a variety of state agencies, tribal communities, community health centers, primary care providers, and treatment providers. This is clearly outlined in the attachment included in the 2013 mini application. If you have any questions, please contact Jenn Oxborrow at 801.232.4441.