

Utah

UNIFORM APPLICATION

FY 2016/2017 - STATE BEHAVIORAL HEALTH ASSESSMENT AND PLAN

SUBSTANCE ABUSE PREVENTION AND TREATMENT and COMMUNITY MENTAL HEALTH SERVICES BLOCK GRANT

OMB - Approved 06/12/2015 - Expires 06/30/2018
(generated on 07/29/2016 1.45.28 PM)

Center for Substance Abuse Prevention
Division of State Programs

Center for Substance Abuse Treatment
Division of State and Community Assistance

and

Center for Mental Health Services
Division of State and Community Systems Development

State Information

State Information

Plan Year

Start Year 2016

End Year 2017

State SAPT DUNS Number

Number 878593383

Expiration Date

I. State Agency to be the SAPT Grantee for the Block Grant

Agency Name Utah Department of Human Services

Organizational Unit Division of Substance Abuse and Mental Health

Mailing Address 195 North 1950 West

City Salt Lake City

Zip Code 84116

II. Contact Person for the SAPT Grantee of the Block Grant

First Name Ann

Last Name Williamson

Agency Name Division of Substance Abuse and Mental Health

Mailing Address 195 North 1950 West

City Salt Lake City

Zip Code 84116

Telephone 801-538-4001

Fax 801-538-4016

Email Address annwilliamson@utah.gov

State CMHS DUNS Number

Number 878593383

Expiration Date 8/30/2013

I. State Agency to be the CMHS Grantee for the Block Grant

Agency Name Utah Department of Human Services

Organizational Unit Division of Substance Abuse and Mental Health

Mailing Address 195 North 1950 West

City Salt Lake City

Zip Code 84116

II. Contact Person for the CMHS Grantee of the Block Grant

First Name Ann

Last Name Williamson

Agency Name Division of Substance Abuse and Mental Health

Mailing Address 195 North 1950 West

City Salt Lake City

Zip Code 84116

Telephone 801-538-4001

Fax 801-538-4016

Email Address annwilliamson@utah.gov

III. State Expenditure Period (Most recent State expenditure period that is closed out)

From

To

IV. Date Submitted

Submission Date

Revision Date

V. Contact Person Responsible for Application Submission

First Name Shanel

Last Name Long

Telephone 801-538-4406

Fax 801-538-9892

Email Address shlong@utah.gov

Footnotes:

State Information

Chief Executive Officer's Funding Agreement - Certifications and Assurances / Letter Designating Signatory Authority [SA]

Fiscal Year 2017

U.S. Department of Health and Human Services
 Substance Abuse and Mental Health Services Administrations
 Funding Agreements
 as required by
 Substance Abuse Prevention and Treatment Block Grant Program
 as authorized by
 Title XIX, Part B, Subpart II and Subpart III of the Public Health Service Act
 and
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Title XIX, Part B, Subpart II of the Public Health Service Act		
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Section 1953	Continuation of Certain Programs	42 USC § 300x-63
Section 1955	Services Provided by Nongovernmental Organizations	42 USC § 300x-65
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ASSURANCES - NON-CONSTRUCTION PROGRAMS

Note: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States, and if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standard or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the nineteen statutes or regulations specified in Appendix A of OPM's Standard for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685- 1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §§794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to non- discrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Title II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327- 333), regarding labor standards for federally assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetland pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clear Air) Implementation Plans under Section 176(c) of the Clear Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g)

protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended, (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended, (P.L. 93-205).

12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§ 469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead based paint in construction or rehabilitation of residence structures.
16. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act of 1984.
17. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations and policies governing this program.

LIST of CERTIFICATIONS

1. CERTIFICATION REGARDING LOBBYING

Title 31, United States Code, Section 1352, entitled "Limitation on use of appropriated funds to influence certain Federal contracting and financial transactions," generally prohibits recipients of Federal grants and cooperative agreements from using Federal (appropriated) funds for lobbying the Executive or Legislative Branches of the Federal Government in connection with a SPECIFIC grant or cooperative agreement. Section 1352 also requires that each person who requests or receives a Federal grant or cooperative agreement must disclose lobbying undertaken with non-Federal (non- appropriated) funds. These requirements apply to grants and cooperative agreements EXCEEDING \$100,000 in total costs (45 CFR Part 93). By signing and submitting this application, the applicant is providing certification set out in Appendix A to 45 CFR Part 93.

2. CERTIFICATION REGARDING PROGRAM FRAUD CIVIL REMEDIES ACT (PFCRA)

The undersigned (authorized official signing for the applicant organization) certifies that the statements herein are true, complete, and accurate to the best of his or her knowledge, and that he or she is aware that any false, fictitious, or fraudulent statements or claims may subject him or her to criminal, civil, or administrative penalties. The undersigned agrees that the applicant organization will comply with the Department of Health and Human Services terms and conditions of award if a grant is awarded as a result of this application.

3. CERTIFICATION REGARDING ENVIRONMENTAL TOBACCO SMOKE

Public Law 103-227, also known as the Pro-Children Act of 1994 (Act), requires that smoking not be permitted in any portion of any indoor facility owned or leased or contracted for by an entity and used routinely or regularly for the provision of health, day care, early childhood development services, education or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law also applies to children's services that are provided in indoor facilities that are constructed, operated, or maintained with such Federal funds. The law does not apply to children's services provided in private residence, portions of facilities used for inpatient drug or alcohol treatment, service providers whose sole source of applicable Federal funds is Medicare or Medicaid, or facilities where WIC coupons are redeemed.

Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1,000 for each violation and/or the imposition of an administrative compliance order on the responsible entity.

The authorized official signing for the applicant organization certifies that the applicant organization will comply with the requirements of the Act and will not allow smoking within any portion of any indoor facility used for the provision of services for children as defined by the Act. The applicant organization agrees that it will require that the language of this certification be included in any sub-awards which contain provisions for children's services and that all sub-recipients shall certify accordingly.

The Department of Health and Human Services strongly encourages all grant recipients to provide a smoke-free workplace and promote the non-use of tobacco products. This is consistent with the DHHS mission to protect and advance the physical and mental health of the American people.

I hereby certify that the state or territory will comply with Title XIX, Part B, Subpart II and Subpart III of the Public Health Service (PHS) Act, as amended, and summarized above, except for those sections in the PHS Act that do not apply or for which a waiver has been granted or may be granted by the Secretary for the period covered by this agreement.

I also certify that the state or territory will comply with the Assurances Non-Construction Programs and Certifications summarized above.

Name of Chief Executive Officer (CEO) or Designee: Ann Williamson

Signature of CEO or Designee¹: _____

Title: Executive Director

Date Signed: _____

mm/dd/yyyy

¹If the agreement is signed by an authorized designee, a copy of the designation must be attached.

Footnotes:

State Information

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Fiscal Year 2016

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2. Will give the awarding agency, the Comptroller General of the United States, and if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standard or agency directives.
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I also certify that the state or territory will comply with the Assurances Non-Construction Programs and Certifications summarized above.

Name of Chief Executive Officer (CEO) or Designee: Ann Williamson

Signature of CEO or Designee¹: 

Title: Executive Director

Date Signed: 6/2/15

mm/dd/yyyy

¹If the agreement is signed by an authorized designee, a copy of the designation must be attached.
Utah

Footnotes:

State Information

Chief Executive Officer's Funding Agreement - Certifications and Assurances / Letter Designating Signatory Authority [MH]

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Section 1911	Formula Grants to States	42 USC § 300x
Section 1912	State Plan for Comprehensive Community Mental Health Services for Certain Individuals	42 USC § 300x-1
Section 1913	Certain Agreements	42 USC § 300x-2
Section 1914	State Mental Health Planning Council	42 USC § 300x-3
Section 1915	Additional Provisions	42 USC § 300x-4
Section 1916	Restrictions on Use of Payments	42 USC § 300x-5
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2. Will give the awarding agency, the Comptroller General of the United States, and if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standard or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
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5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the nineteen statutes or regulations specified in Appendix A of OPM's Standard for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
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7. Will comply, or has already complied, with the requirements of Title II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327- 333), regarding labor standards for federally assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetland pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Costal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clear Air) Implementation Plans under Section 176(c) of the Clear Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g)

protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended, (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended, (P.L. 93-205).

12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§ 469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead based paint in construction or rehabilitation of residence structures.
16. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act of 1984.
17. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations and policies governing this program.

LIST of CERTIFICATIONS

1. CERTIFICATION REGARDING LOBBYING

Title 31, United States Code, Section 1352, entitled "Limitation on use of appropriated funds to influence certain Federal contracting and financial transactions," generally prohibits recipients of Federal grants and cooperative agreements from using Federal (appropriated) funds for lobbying the Executive or Legislative Branches of the Federal Government in connection with a SPECIFIC grant or cooperative agreement. Section 1352 also requires that each person who requests or receives a Federal grant or cooperative agreement must disclose lobbying undertaken with non-Federal (non- appropriated) funds. These requirements apply to grants and cooperative agreements EXCEEDING \$100,000 in total costs (45 CFR Part 93). By signing and submitting this application, the applicant is providing certification set out in Appendix A to 45 CFR Part 93.

2. CERTIFICATION REGARDING PROGRAM FRAUD CIVIL REMEDIES ACT (PFCRA)

The undersigned (authorized official signing for the applicant organization) certifies that the statements herein are true, complete, and accurate to the best of his or her knowledge, and that he or she is aware that any false, fictitious, or fraudulent statements or claims may subject him or her to criminal, civil, or administrative penalties. The undersigned agrees that the applicant organization will comply with the Department of Health and Human Services terms and conditions of award if a grant is awarded as a result of this application.

3. CERTIFICATION REGARDING ENVIRONMENTAL TOBACCO SMOKE

Public Law 103-227, also known as the Pro-Children Act of 1994 (Act), requires that smoking not be permitted in any portion of any indoor facility owned or leased or contracted for by an entity and used routinely or regularly for the provision of health, day care, early childhood development services, education or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law also applies to children's services that are provided in indoor facilities that are constructed, operated, or maintained with such Federal funds. The law does not apply to children's services provided in private residence, portions of facilities used for inpatient drug or alcohol treatment, service providers whose sole source of applicable Federal funds is Medicare or Medicaid, or facilities where WIC coupons are redeemed.

Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1,000 for each violation and/or the imposition of an administrative compliance order on the responsible entity.

The authorized official signing for the applicant organization certifies that the applicant organization will comply with the requirements of the Act and will not allow smoking within any portion of any indoor facility used for the provision of services for children as defined by the Act. The applicant organization agrees that it will require that the language of this certification be included in any sub-awards which contain provisions for children's services and that all sub-recipients shall certify accordingly.

The Department of Health and Human Services strongly encourages all grant recipients to provide a smoke-free workplace and promote the non-use of tobacco products. This is consistent with the DHHS mission to protect and advance the physical and mental health of the American people.

I hereby certify that the state or territory will comply with Title XIX, Part B, Subpart II and Subpart III of the Public Health Service (PHS) Act, as amended, and summarized above, except for those sections in the PHS Act that do not apply or for which a waiver has been granted or may be granted by the Secretary for the period covered by this agreement.

I also certify that the state or territory will comply with the Assurances Non-Construction Programs and Certifications summarized above.

Name of Chief Executive Officer (CEO) or Designee: _____

Signature of CEO or Designee¹: _____

Title: _____

Date Signed: _____

mm/dd/yyyy

¹If the agreement is signed by an authorized designee, a copy of the designation must be attached.

Footnotes:

State Information

Chief Executive Officer's Funding Agreement - Certifications and Assurances / Letter Designating Signatory Authority [MH]

Fiscal Year 2016

U.S. Department of Health and Human Services
 Substance Abuse and Mental Health Services Administrations
 Funding Agreements
 as required by
 Community Mental Health Services Block Grant Program
 as authorized by
 Title XIX, Part B, Subpart II and Subpart III of the Public Health Service Act
 and
 Title 42, Chapter 6A, Subchapter XVII of the United States Code

Title XIX, Part B, Subpart II of the Public Health Service Act		
Section	Title	Chapter
Section 1911	Formula Grants to States	42 USC § 300x
Section 1912	State Plan for Comprehensive Community Mental Health Services for Certain Individuals	42 USC § 300x-1
Section 1913	Certain Agreements	42 USC § 300x-2
Section 1914	State Mental Health Planning Council	42 USC § 300x-3
Section 1915	Additional Provisions	42 USC § 300x-4
Section 1916	Restrictions on Use of Payments	42 USC § 300x-5
Section 1917	Application for Grant	42 USC § 300x-6
Title XIX, Part B, Subpart III of the Public Health Service Act		
Section 1941	Opportunity for Public Comment on State Plans	42 USC § 300x-51
Section 1942	Requirement of Reports and Audits by States	42 USC § 300x-52
Section 1943	Additional Requirements	42 USC § 300x-53
Section 1946	Prohibition Regarding Receipt of Funds	42 USC § 300x-56
Section 1947	Nondiscrimination	42 USC § 300x-57
Section 1953	Continuation of Certain Programs	42 USC § 300x-63
Section 1955	Services Provided by Nongovernmental Organizations	42 USC § 300x-65
Section 1956	Services for Individuals with Co-Occurring Disorders	42 USC § 300x-66

ASSURANCES - NON-CONSTRUCTION PROGRAMS

Note: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States, and if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standard or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the nineteen statutes or regulations specified in Appendix A of OPM's Standard for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
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- protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended, (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended, (P.L. 93-205).
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The undersigned (authorized official signing for the applicant organization) certifies that the statements herein are true, complete, and accurate to the best of his or her knowledge, and that he or she is aware that any false, fictitious, or fraudulent statements or claims may subject him or her to criminal, civil, or administrative penalties. The undersigned agrees that the applicant organization will comply with the Department of Health and Human Services terms and conditions of award if a grant is awarded as a result of this application.

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I hereby certify that the state or territory will comply with Title XIX, Part B, Subpart II and Subpart III of the Public Health Service (PHS) Act, as amended, and summarized above, except for those sections in the PHS Act that do not apply or for which a waiver has been granted or may be granted by the Secretary for the period covered by this agreement.

I also certify that the state or territory will comply with the Assurances Non-Construction Programs and Certifications summarized above.

Name of Chief Executive Officer (CEO) or Designee: Ann Williamson

Signature of CEO or Designee¹: 

Title: Executive Director

Date Signed: 6/2/15

mm/dd/yyyy

¹If the agreement is signed by an authorized designee, a copy of the designation must be attached.
Utah

Footnotes:

State Information

Disclosure of Lobbying Activities

To View Standard Form LLL, Click the link below (This form is OPTIONAL)

[Standard Form LLL \(click here\)](#)

Name	<input type="text" value="Ann Williamson"/>
Title	<input type="text" value="Executive Director"/>
Organization	<input type="text" value="Utah Department of Human Services"/>

Signature: _____ Date: _____

Footnotes:

State Information

Disclosure of Lobbying Activities

To View Standard Form LLL, Click the link below (This form is OPTIONAL)

[Standard Form LLL \(click here\)](#)

Name	Ann Williamson
Title	Executive Director
Organization	Utah Department of Human Services

DP

Signature:  Date: 6/2/15

Footnotes:

Planning Tables

Table 2 State Agency Planned Expenditures [MH]

Planning Period Start Date: 7/1/2016 Planning Period End Date: 6/30/2017

Activity (See instructions for using Row 1.)	A.Substance Abuse Block Grant	B.Mental Health Block Grant	C.Medicaid (Federal, State, and Local)	D.Other Federal Funds (e.g., ACF (TANF), CDC, CMS (Medicare) SAMHSA, etc.)	E.State Funds	F.Local Funds (excluding local Medicaid)	G.Other
1. Substance Abuse Prevention* and Treatment							
a. Pregnant Women and Women with Dependent Children*							
b. All Other							
2. Substance Abuse Primary Prevention							
3. Tuberculosis Services							
4. HIV Early Intervention Services							
5. State Hospital			\$0	\$0	\$0	\$0	\$0
6. Other 24 Hour Care		\$0	\$0	\$3,431,498	\$0	\$8,111,870	\$0
7. Ambulatory/Community Non-24 Hour Care		\$667,214	\$0	\$1,909,268	\$0	\$8,331,866	\$0
8. Mental Health Primary Prevention**		\$75,908	\$0	\$0	\$0	\$0	\$0
9. Evidenced Based Practices for First Episode Psychosis (10% of the state's total MHBG award)		\$385,887	\$0	\$0	\$0	\$0	\$0
10. Administration (Excluding Program and Provider Level)		\$312,882	\$0	\$0	\$0	\$0	\$0
11. Total	\$0	\$1,441,891	\$0	\$5,340,766	\$0	\$16,443,736	\$0

* Prevention other than primary prevention

** It is important to note that while a state may use state or other funding for these services, the MHBG funds must be directed toward adults with SMI or children with SED.

Footnotes:

Planning Tables

Table 4 SABG Planned Expenditures

Planning Period Start Date: 10/1/2016 Planning Period End Date: 9/30/2018

Expenditure Category	FY 2016 SA Block Grant Award	FY 2017 SA Block Grant Award
1 . Substance Abuse Prevention* and Treatment	\$12,441,436	\$12,441,436
2 . Substance Abuse Primary Prevention	\$3,317,716	\$3,314,716
3 . Tuberculosis Services		
4 . HIV Early Intervention Services**		
5 . Administration (SSA Level Only)	\$829,429	\$829,429
6. Total	\$16,588,581	\$16,585,581

* Prevention other than primary prevention

** 1924(b)(2) of Title XIX, Part B, Subpart II of the Public Health Service Act (42 U.S.C. § 300x-24(b)(2)) and section 96.128(b) of the Substance Abuse Prevention and Treatment Block Grant; Interim Final Rule (45 CFR 96.120-137), SAMHSA relies on the HIV Surveillance Report produced by CDC, National Center for HIV/AIDS, Hepatitis, STD and TB Prevention. The HIV Surveillance Report, Volume 24, will be used to determine the states and jurisdictions that will be required to set-aside 5 percent of their respective FY 2016 SABG allotments to establish one or more projects to provide early intervention services for HIV at the sites at which individuals are receiving SUD treatment services. In FY 2012, SAMHSA developed and disseminated a policy change applicable to the EIS/HIV which provided any state that was a "designated state" in any of the three years prior to the year for which a state is applying for SABG funds with the flexibility to obligate and expend SABG funds for EIS/HIV even though the state does not meet the AIDS case rate threshold for the fiscal year involved. Therefore, any state with an AIDS case rate below 10 or more such cases per 100,000 that meets the criteria described in the 2012 policy guidance would be allowed to obligate and expend FY 2016 SABG funds for EIS/HIV if they chose to do so.

Footnotes:

Planning Tables

Table 5a SABG Primary Prevention Planned Expenditures

Planning Period Start Date: 10/1/2016 Planning Period End Date: 9/30/2018

Strategy		IOM Target	FY 2016	FY 2017
			SA Block Grant Award	SA Block Grant Award
Information Dissemination	Universal			
	Selective			
	Indicated			
	Unspecified			
	Total		\$0	\$0
Education	Universal			
	Selective			
	Indicated			
	Unspecified			
	Total		\$0	\$0
Alternatives	Universal			
	Selective			
	Indicated			
	Unspecified			
	Total		\$0	\$0
Problem Identification and Referral	Universal			
	Selective			
	Indicated			
	Unspecified			
	Total		\$0	\$0

Community-Based Process	Universal		
	Selective		
	Indicated		
	Unspecified		
	Total	\$0	\$0
Environmental	Universal		
	Selective		
	Indicated		
	Unspecified		
	Total	\$0	\$0
Section 1926 Tobacco	Universal	\$345,000	\$345,000
	Selective		
	Indicated		
	Unspecified		
	Total	\$345,000	\$345,000
Other	Universal		
	Selective		
	Indicated		
	Unspecified		
	Total	\$0	\$0
Total Prevention Expenditures		\$345,000	\$345,000
Total SABG Award*		\$16,588,581	\$16,585,581
Planned Primary Prevention Percentage		2.08 %	2.08 %

*Total SABG Award is populated from Table 4 - SABG Planned Expenditures

Footnotes:

Planning Tables

Table 5b SABG Primary Prevention Planned Expenditures by IOM Category

Planning Period Start Date: 10/1/2016 Planning Period End Date: 9/30/2018

Activity	FY 2016 SA Block Grant Award	FY 2017 SA Block Grant Award
Universal Direct	\$1,261,706	\$1,261,706
Universal Indirect	\$458,714	\$458,714
Selective	\$1,702,027	\$1,702,027
Indicated	\$701,202	\$701,202
Column Total	\$4,123,649	\$4,123,649
Total SABG Award*	\$16,588,581	\$16,585,581
Planned Primary Prevention Percentage	24.86 %	24.86 %

*Total SABG Award is populated from Table 4 - SABG Planned Expenditures

Footnotes:

Planning Tables

Table 5c SABG Planned Primary Prevention Targeted Priorities

Planning Period Start Date: 10/1/2016 Planning Period End Date: 9/30/2018

Targeted Substances	
Alcohol	b
Tobacco	b
Marijuana	b
Prescription Drugs	b
Cocaine	e
Heroin	b
Inhalants	b
Methamphetamine	e
Synthetic Drugs (i.e. Bath salts, Spice, K2)	e
Targeted Populations	
Students in College	b
Military Families	b
LGBTQ	b
American Indians/Alaska Natives	b
African American	b
Hispanic	b
Homeless	e
Native Hawaiian/Other Pacific Islanders	b
Asian	b
Rural	b
Underserved Racial and Ethnic Minorities	b

Footnotes:

Planning Tables

Table 6a SABG Resource Development Activities Planned Expenditures

Planning Period Start Date: 10/1/2016 Planning Period End Date: 9/30/2018

Activity	FY 2016 SA Block Grant Award				FY 2017 SA Block Grant Award			
	Prevention	Treatment	Combined	Total	Prevention	Treatment	Combined	Total
1. Planning, Coordination and Needs Assessment	\$401,000			\$401,000	\$401,000			\$401,000
2. Quality Assurance	\$31,000	\$35,100		\$66,100	\$31,000	\$35,100		\$66,100
3. Training (Post-Employment)	\$118,500	\$57,900		\$176,400	\$118,500	\$57,900		\$176,400
4. Education (Pre-Employment)	\$45,000	\$105,000		\$150,000	\$45,000	\$105,000		\$150,000
5. Program Development	\$130,000	\$60,000		\$190,000	\$130,000	\$60,000		\$190,000
6. Research and Evaluation				\$0				\$0
7. Information Systems	\$46,000			\$46,000	\$46,000			\$46,000
8. Total	\$771,500	\$258,000	\$0	\$1,029,500	\$771,500	\$258,000	\$0	\$1,029,500

Footnotes:

Planning Tables

Table 6b MHBG Non-Direct Service Activities Planned Expenditures

Planning Period Start Date: 7/1/2016 Planning Period End Date: 6/30/2017

Service	Block Grant
MHA Technical Assistance Activities	
MHA Planning Council Activities	
MHA Administration	
MHA Data Collection/Reporting	
MHA Activities Other Than Those Above	
Total Non-Direct Services	\$0
Comments on Data: <input data-bbox="100 911 1521 940" type="text"/>	
Footnotes:	

Environmental Factors and Plan

22. State Behavioral Health Planning/Advisory Council and Input on the Mental Health/Substance Abuse Block Grant Application

Narrative Question:

Each state is required to establish and maintain a state Mental Health Planning/Advisory Council for adults with SMI or children with SED. To meet the needs of states that are integrating mental health and substance abuse agencies, SAMHSA is recommending that states expand their Mental Health Advisory Council to include substance abuse, referred to here as a Behavioral Health Advisory/Planning Council (BHPC). SAMHSA encourages states to expand their required Council's comprehensive approach by designing and implementing regularly scheduled collaborations with an existing substance abuse prevention and treatment advisory council to ensure that the council reviews issues and services for persons with, or at risk for, substance abuse and substance use disorders. To assist with implementing a BHPC, SAMHSA has created [Best Practices for State Behavioral Health Planning Councils: The Road to Planning Council Integration](#).⁹⁷

Additionally, [Title XIX, Subpart III, section 1941 of the PHS Act \(42 U.S.C. 300x-51\)](#) applicable to the SABG and the MHBG, requires that, as a condition of the funding agreement for the grant, states will provide an opportunity for the public to comment on the state block grant plan. States should make the plan public in such a manner as to facilitate comment from any person (including federal, tribal, or other public agencies) both during the development of the plan (including any revisions) and after the submission of the plan to SAMHSA.

For SABG only - describe the steps the state took to make the public aware of the plan and allow for public comment.

For MHBG and integrated BHPC: States must include documentation that they shared their application and implementation report with the Planning Council; please also describe the steps the state took to make the public aware of the plan and allow for public comment.

SAMHSA requests that any recommendations for modifications to the application or comments to the implementation report that were received from the Planning Council be submitted to SAMHSA, regardless of whether the state has accepted the recommendations. The documentation, preferably a letter signed by the Chair of the Planning Council, should state that the Planning Council reviewed the application and implementation report and should be transmitted as attachments by the state.

Please consider the following items as a guide when preparing the description of the state's system:

1. How was the Council actively involved in the state plan? Attach supporting documentation (e.g., meeting minutes, letters of support, etc.).
2. What mechanism does the state use to plan and implement substance abuse services?
3. Has the Council successfully integrated substance abuse prevention and treatment or co-occurring disorder issues, concerns, and activities into its work?
4. Is the membership representative of the service area population (e.g., ethnic, cultural, linguistic, rural, suburban, urban, older adults, families of young children)?
5. Please describe the duties and responsibilities of the Council, including how it gathers meaningful input from people in recovery, families and other important stakeholders, and how it has advocated for individuals with SMI or SED.

*Additionally, please complete the Behavioral Health Advisory Council Members and Behavioral Health Advisory Council Composition by Member Type forms.*⁹⁸

⁹⁷<http://beta.samhsa.gov/grants/block-grants/resources>

⁹⁸There are strict state Council membership guidelines. States must demonstrate: (1) the involvement of people in recovery and their family members; (2) the ratio of parents of children with SED to other Council members is sufficient to provide adequate representation of that constituency in deliberations on the Council; and (3) no less than 50 percent of the members of the Council are individuals who are not state employees or providers of mental health services.

Please use the box below to indicate areas of technical assistance needed related to this section:

Footnotes:

**Utah Behavioral Health Planning and Advisory Council
Meeting Minutes**

August 31, 2015, 1:00-3:00 pm, MASOB, room 4051,
Multi-Agency State Office Building (MASOB)
195 N 1950 W, Salt Lake City

“Our mission is to ensure quality behavioral health care in Utah by promoting collaboration, advocacy, education, and delivery of services.”

COUNCIL MEMBERS PRESENT: Ginger Phillips, Kimball Gardner, Cooper Landvatter, Jacqueline Gomez-Arias, Ken Rosenbaum, Lori Cerar, Mary Jo McMillen, Nicole Fraedrich, Peggy Hostetter, Sam Vincent, Valerie Fritz, William Bryant

DSAMH STAFF PRESENT: LeAnne Huff, Jeremy Christensen, Pete Caldwell, Heather Lewis, Susannah Burt, and Geri Jardine

OTHERS PRESENT: Robyn Emery, Nathan Peterson, Jamie Justice, Chris Smith, Marian Edmonds-Allen

REMOTE ATTENDANCE: None

1. Welcome, Introductions & Thank You:

Kim Gardner welcomed everyone to the meeting and thanked everyone for being here. He stated he is trying to start the meeting on time and end on time. He asked that everyone be sure to sign the sign-in sheet before leaving, even if attending as a guest.

2. Review, Approve and Vote on July 27, 2015 Minutes/Make Announcements:

Valerie Fritz made a motion to approve the minutes as written. It was seconded by Sam Vincent. The vote was unanimous.

Kim Gardner stated that the NAMI walk is on September 12th. Kim passed around flyers to share with agencies.

Mary Jo McMillan reported that September 12th is Recovery Day. She passed around posters for those wanting to take back to their agencies. She also stated that today is International Overdose Awareness Day. There will be a rally at the Capitol from 4:00 to 5:00 p.m. calling for an end to our nation’s epidemic of addiction and overdose deaths attributed to opioids and other prescription drugs. There were over 500 deaths in the state last year. She stated that the website UtahNaloxone.org has information on where to get a rescue kit, how to administer as a lay person, and follow-up procedures after administering the Naloxone. She also stated that myusara.org has all the Recovery Day events happening throughout the state.

Jacqueline Gomez stated that Mental Health First Aid training is being held at Latino Behavioral Services on September 11th and 18th from 12:30 to 5:00. Everyone is welcome to attend.

LeAnne Huff reported that the Transitions Academy will be held this week.

Susannah Burt stated that the Utah Fall Conference in St. George will be held on September 23-25. There are a few scholarships still floating around and so if you are interested in attending to let her know.

Nicole Fraedrich announced that Thursday is the 25th anniversary of ADA and a celebration will be held in West Jordan from 4:00 - 5:30.

NAMI is having a vigil on September 10th. Location and time will be forthcoming.

3. Vote and Welcome New Members:

Kim Gardner stated that the membership application for Cooper Landvatter from the Salt Lake City Police Department (CIT) and reappointment of Nicole Fraedrich, State Office of Rehabilitation, have been approved.

Lori Cerar made a motion to approve these two individuals as members of the Council and it was seconded by Valerie Fritz. The vote was unanimous.

4. Block Grant Application Letter:

Kim Gardner asked that the committee review the letter which was handed out as well as previously e-mailed to the Council. LeAnne Huff asked that phone number be changed to (801) 538-4326.

Kim reported that the Council entrusted that block grant review subcommittee with this task to be included with the application. He asked if there were any comments or edits. Jamie Justice stated that she was very impressed with the efforts of the subcommittee. She stated it lays great steps for the Council to be effective. Jeremy Christensen also indicated that there was a lot of work and thoughtfulness in putting this letter together.

Kim asked Jeremy to report on the next step. Jeremy indicated DSAMH will review it in leadership tomorrow and review with their teams to start meaningfully address items in the letter for the next block grant process. He stated it will help DSAMH reflect on the process for putting the block grant together. DSAMH can do better including the Council, and they will consider moving back the timeline to institute this process. He will give an update at the next meeting.

Jamie stated that she is hopeful that this process will be meaningful in being able to move forward quickly and be in line with DSAMH. She expressed concern that the Council is able to give input for this coming year's budget. Jeremy gave a brief overview of how the budget process works. Kim requested a mini training on how the DSAMH budget process works. He also stated that subcommittees are the muscle for this Council and it is important that everyone is involved, as shown by this letter. Jeremy stated that this letter will go into DSAMH's files and the Block Grant reviewers will follow-up to see DSAMH's response to these recommendations.

Sam Vincent stated that he is optimistic about moving forward. He thinks it is a cycle that will help the Council become stronger and better attended. As people attend the meeting, see which programs are being funded, and having an opportunity to be able to voice gaps, it will create more influential thinkers. He stated this will lay great groundwork for growth in the next year.

Valerie Fritz stated she would like a discussion to get an overview of all the requirements necessary that will help the group be able to better understand what DSAMH has to work with and then what the county has to work with to implement the changes.

5. SHARP Survey:

Susannah Burt handed out a one-page fact sheet along with graphs/charts. She stated the survey is administered every two years, to students in grades 6, 8, 10, and 12 in most public district and charter school across Utah. The survey was designed to assess adolescent substance use, anti-social behavior, and the risk and protective factors that predict these adolescent problem behaviors. The survey contains a wealth of data that is used by legislators, regulators and local communities to address problems in their youth populations. There were 46,000 surveys completed this year, with a 69% completion rate with active parental consent. DSAMH uses this information for planning to assess the hot issues or trends. Susannah stated that this is something this Council could use when selecting items to focus on that involves trends (such as the Block Grant recommendations). Susannah reviewed and answered questions regarding the graphs. For the full SHARP survey please refer to DSAMH's website or, if you have specific questions, contact Susannah directly at sburt@utah.gov.

6. Division Reports/Information:

Jeremy reported that DSAMH is working on the annual report. He stated that JRI continues to move forward and DSAMH is starting to draft standards and rule with input from other agencies.

7. Coverage Gap Solutions (possible letter of thanks followed by more aggressive advocacy):

No new information was available. Kim Gardner stated he would entertain a motion for the Council to send a letter to the legislature thanking them for their efforts and advocating more aggressively for funding the gap.

Jamie Justice made the motion to write the letter and it was seconded by Jacqueline Gomez-Arias. The vote was unanimous.

Kim will work with the Council leadership to look at the old letter, update as necessary, and then disseminate to the Council prior to the next meeting.

8. Peer Specialist Summit:

Jacqueline Gomez-Arias reported that Latino Behavioral Health has been asked to be the host of this year's summit and hopefully next year's conference. They are providing a half-day training, Conversation Café, instead of coming from a triangle trying to teach consumers/family members. They have chosen five topics and they want every single person to give an opinion. The results will be the topic for the next conference. They are excited about getting everyone involved. It is for all peers, not just peer specialists. This summit will be held on October 23rd from 9:00 to 1:00 p.m. at DSAMH. Registration will start at 8:30. The committee will continue to discuss the facilitator issue.

9. Member Input/Open Discussion:

LeAnne asked if everyone signed the stipend sheet, if appropriate, and if everyone signed the sign-in sheet.

10. Training on Sub-Committees (especially Block Grant as example):

Kim Gardner asked that everyone help with a subcommittee.

The next meeting will be held September 28, 2015 at 1:00 pm

The meeting adjourned at 2:39 p.m.

Thank you for your support of the UBHPAC!

**Utah Behavioral Health Planning and Advisory Council
Meeting Minutes**

July 27, 2015, 1:00-3:00 pm, MASOB, room 4051,
Multi-Agency State Office Building (MASOB)
195 N 1950 W, Salt Lake City

You may attend electronically via a computer with a Go-To-Meeting link. Directions are listed below. Please contact Leanne Huff, lhuff@utah.gov or at 801-538-4326 for assistance.

“Our mission is to ensure quality behavioral health care in Utah by promoting collaboration, advocacy, education, and delivery of services.”

ATTENDEES: Ginger Phillips, Kimball Gardner, Lana Lomax, Lori Cerar, Mary Jo McMillen, Michelle Coleman, Peggy Hostetter, Ron Bruno, Ken Rosenbaum, Erin Sullivan, Liz Felt, Valerie Fritz, William Bryant, A.L. (for Nicole Fraedrich, Detective Cooper Landvatter and Jason Stevenson)

DSAMH STAFF: Leann Huff, Kim Myers, Jeremy Christensen, Dave Felt, and Susan Hardinger

REMOTE ATTENDANCE: None

1. Welcome & Introductions Kimball Gardner, NAMI Utah & Ginger Phillips, Certified Peer Spec.

Ginger Phillips welcomed all in attendance and introductions were made.

LeAnne Huff said she was sending around the attendance roll to be signed and also the stipend request sheet.

2. Review, approve and vote on June 29, 2015 minutes plus make announcements

Motion to approve: Michelle Vance

Seconded: Mary Jo McMillan

All were in favor

3. Vote on and welcome new members

Michelle Coleman was recognized as being approved as a member.

4. Healthy Utah update from Utah Health Policy Project- Jason Stevenson

53,000 Utahans live in the gap. Have been looking for sustainable health care solutions for underserved Utahans.

Jason reported that the executive branch and leadership reached framework for the Medicaid coverage gap. This is a huge step forward. This will bring federal tax dollars back to our state.

The details are expected to be released soon. This could bring a couple of million dollars to Utah in the next 10 years.

In the “new plan” the hospital association will kick in 25 million dollars if providers and pharmaceutical companies also contribute. Negotiations are going on now.

Years one and two have passed. The third year is 2016.

0% to 138% of poverty will be covered.

Medicare is unaffected by the change.

There is a rally planned for August 18 to get things done together and support is requested. A handout was distributed with the list of the committee of six. The two individuals to contact

regarding this new plan is House Speaker Greg Hughes greghughes@le.utah.gov and House Majority Leader Rep. Jim Dunnigan, jdunnigan@le.utah.gov
Another contact who has been on this project for 2 ½ years is rylee@healthpolicyproject.org

5. Peer Support Specialist/FRF billable rate

a. Scott Ellis from Medicaid

Ginger Phillips reported that Scott Ellis spoke with the UBHPAC Executive Committee. He will speak with Karen Ford (Medicaid) about raising the billable rate so providers will hire more Peer Support Specialists. A meeting is scheduled on the 20th to discuss.

6. Member input/open discussion

7. Stipend/travel reimbursement: approved

The new stipend policy was approved and went into effect 6/29/2015. The new stipend amount is \$35 per meeting for those attending UBHPAC and not paid by any other entity.

8. Peer Conference status

The Summit is scheduled for Friday October 23 from 9:00 am-1:00 pm.

The next Peer Conference planning meeting will be Wednesday August 19 for 10:00-12:00.

We are moving forward with the plans for the Conference.

9. Division reports/information

a. CIT Summit

LeAnne Huff reported that the Summit had good turnout. What works and what doesn't work was discussed. The presenters were Major Sam Cochran, Mike Woody and Pat Strode. We want to continue the good work and support CIT efforts.

b. Rule 523-2-9 for Adult Peer Support Specialists; rule change

Requesting final input before putting the proposed changes for public comment. There are two changes; 20 hours continuing education every two years instead of every year. The other is, to be congruent with other license requirements, the PSS will no longer need to submit their continuing education information but they shall maintain documentation of compliance and provide it if audited.

Jeremy Christensen said that USU received a federal grant which will provide training and practicum to work on-site at (agency where hired) for 48 hours for practical on the job experience.

Ginger Phillips said that SUD training will be added to the curriculum.

Appellation will be turning training/curriculum over to the Department of Human Services.

Youth in transition will have an 8 hour training in mid-August.

Ginger Phillips and 4 others will attend a train the trainer by Appellation.

A discussion ensued regarding receiving enough and the right type of training for peers to be able to assist those needing help without enabling them. Mary Jo McMillen said that the challenge is, if the training is shortened the peers won't be educated enough to provide training. She is worried about watered down curriculum. She feels a longer training is needed. Peggy Hostetter said that if the peer doesn't understand addiction and a person relapses the peer can cause a lot of damage. It is important to have peers who have "lived experience".

Mary Jo McMillen will find someone who can sit on the committee for the SUD curriculum which will be added to the Appellation training.

The Peer Support Specialists need to be well trained in both Mental Health and Substance Use Disorders.

- c. Jail Reinvestment Initiative status; committees on which Council/peers can serve
 - i. Especially with local authorities
Jeremy Christensen reported that three committees are putting together information; JRI, CCJJ and USAVE. They are looking at standards and data.
 - d. Other
DSAMH putting together budget requests for 2017. The three main areas will be mental health early Intervention, Utah State Hospital and 6.4 million dollars continued for Medicaid match
10. Block grant (FY 2016); application finalized by July 30; public comment; app due Sept 1
Now is the time for public comment. If anyone would like to comment, it should be sent by August 20.
- a. Letter from Council to accompany block grant application
This year SAMHSA has mandated a letter of recommendation sent to them from UBHPAC.
11. Training on sub-committees
-Tabled-
12. Other
Kimball Gardner stated that in the UBHPAC Policy Bylaws, it states that if a voting member misses three consecutive meetings without notification, they may be dropped as a voting member.
Notification can go to Kimball Gardner, Ginger Phillips or LeAnne Huff.

Next Meeting: August 31, 2015 at 1 pm

Thank you for your support of the UBHPAC!

To join this meeting remotely, please use the following information--

- 1. RSVP to lhuff@utah.gov no later than Monday morning at 10:00 am

2. She will send you an invitation with a go-to-meeting link to be connected
3. If you don't have speakers on your computer, there is also a number to call that will connect you – it is included with the link.

The State provides reasonable accommodations to the known disabilities of individuals in compliance with the Americans with Disabilities Act. For accommodation information or if you need special accommodations during this meeting, please contact the Division of Substance Abuse and Mental Health at (801) 538-3939 or TTY (801) 538-3696.

The State has adopted a stipend policy that will pay for reasonable travel expenses related to consumers and advocates attendance at UBHPAC meetings. For more information please visit www.dsamh.utah.gov – Initiatives – Behavioral Health and Advisory Council – Information & Forms – UBHPAC Stipend Policy.



State of Utah

GARY R. HERBERT
Governor

SPENCER J. COX
Lieutenant Governor

DEPARTMENT OF HUMAN SERVICES

ANN SILVERBERG WILLIAMSON
Executive Director

DIVISION OF SUBSTANCE ABUSE AND MENTAL HEALTH

DOUG THOMAS
Director

March 24, 2016

Dear Local Authority Director,

The purpose of this letter is to encourage your agency's involvement and participation in the Utah Behavioral Health Planning and Advisory Council (UBHPAC) monthly meetings.

As you are aware, Utah's public behavioral health system is funded in part by two federal block grants: the Mental Health Block Grant and the Substance Abuse Prevention and Treatment Block Grant. Public Law 102-321 requires any state receiving funding from these block grants support a Planning and Advisory Council with statewide representation by peers, providers, and community advocate/partners. UBHPAC is a group of individuals who support and advocate for services for consumers of public behavioral health services. Purpose of membership is to review the Mental Health Block Grant Plan by planning and advising the Division. They are statutorily responsible for reviewing plans for the use of block grant funds, advising the Division on block grant revisions, serving as an advocate for individuals impacted by mental illness and/or substance use disorders, and monitoring and evaluating the adequacy of services in Utah.

If you are not able to attend, it would be appreciated if you would assign a designee to participate. If the representative is unable to attend in person, they will be able to connect through the Utah Telehealth Network (UTN). Please let Kimball Gardner, the UBHPAC Chair, know who will be representing your agency and he will ensure that you receive information regarding the meetings. His contact information is king@namiut.org

I appreciate your support and attention to this matter.

Sincerely,

Doug Thomas

22. State Behavioral Health Planning/Advisory Council and Input on the Mental Health/Substance Abuse Block Grant Application

1) How was the Council actively involved in the state plan? Attach supporting documentation (e.g., meeting minutes, letters of support, etc.).

The combined mental health and substance abuse grant was announced in the Utah Behavioral Health Planning and Advisory Council (UBHPAC) meeting in July of 2015. The Division of Substance Abuse and Mental Health (DSAMH) also printed out a version of the block grant application and placed a hard copy at the front desk of the DSAMH office building. It was also posted on the DSAMH website for one month prior to submission, and the UBHPAC members and public are encouraged to read through it and give feedback to the Chair and state liaison to the council for review. During the last council meeting prior to submission we discuss the application in the Utah Behavioral Health Planning and Advisory Council meeting, discuss any recommendations and feedback for the DSAMH on the block grant application.

2) What mechanism does the state use to plan and implement substance abuse services?

The DSAMH has an Area Plan process where each local mental health authority throughout the State of Utah fills out an Area Plan for how they are going to provide the necessary mental health, substance use, and prevention services to their community, this Area Plan is then approved by the DSAMH. TEDS data is used to evaluate trends, the strategic prevention frame work (SPF) provides a planning process for preventing substance use, and a comprehensive guide to plan, implement, and evaluate prevention problems.

3) Has the Council successfully integrated substance abuse prevention and treatment or co-occurring disorder issues, concerns, and activities into its work?

Yes, the Utah Behavioral Health Planning and Advisory Council integrated Mental Health with Substance abuse prevention and treatment of co-occurring disorder issues, concerns, and activities into the work of the Council. We have representation from both sides with Peers, families, state level, and provider level. Integration began in 2005.

4) Is the membership representative of the service area population (e.g., ethnic, cultural, linguistic, rural, suburban, urban, older adults, families of young children)?

The UBHPAC has representation from Latino behavioral health that provides culturally and linguistically relevant and sensitive mental and behavioral health services to the Latino community who lack access to mainstream service providers, representation from NAMI Utah which is dedicated to improving the lives of individuals and families affected by mental illness. There is representation from Peers, families of loved ones with a mental illness or substance use disorder, parents of children with SED. Most of the representation is from Urban and Suburban areas, however we do have representation from rural areas of Utah. We are continuing with the mission of expanding representation to include more rural areas and Peers, families, and parents of loved ones with mental illness, severe emotional disturbance, and substance use issues. A letter dated April 4, 2016 was sent out to all the LMHA from the director of the DSAMH inviting them to join the UBHPAC via the Utah Telehealth Network.

5) Please describe the duties and responsibilities of the Council, including how it gathers meaningful input from people in recovery, families and other important stakeholders, and how it has advocated for individuals with SMI or SED.

The duties of the UBHPAC are as follows:

- a) To review the Mental Health Block Grant Plan and to make recommendations
- b) To serve as an advocate for adults with serious mental illness, children with a serious emotional disturbance, and other individuals with mental illness.
- c) To monitor, review, and evaluate, not less than once each year, the allocation and adequacy of mental health services within the State.

The UBHPAC meets on the last Monday of each month (excluding holidays). In these meetings UBHPAC members and public discuss and give feedback on current legislative and policy issues, needs and gaps in services are discussed, upcoming events promoting mental health, substance use disorders, and prevention activities. During the annual monitoring of the LMHA community behavioral health services statewide, updates on the site visit including findings, recommendations, and highlights of each center are reported to the UBHPAC. The block grant budget and priorities are discussed, and the UBHPAC comes up with a list of their own priorities for the year. During the legislative session, key legislative issues identified by the UBHPAC are discussed, if there is a key issue the UBHPAC wants to address there is discussion on how to advocate at the legislative level. Some of the ways in which the UBHPAC has advocated are by composing a letter to send to the legislature and having a representative of the UBHPAC be the voice during the session.

Environmental Factors and Plan

Behavioral Health Advisory Council Members

Start Year: 2016 End Year: 2017

Name	Type of Membership	Agency or Organization Represented	Address,Phone, and Fax	Email(if available)
Ron Bruno	Others (Not State employees or providers)	Law Enforcement		
William Bryant	Individuals in Recovery (to include adults with SMI who are receiving, or have received, mental health services)			
Mathew Campbell	Individuals in Recovery (to include adults with SMI who are receiving, or have received, mental health services)			
Lori Cerar	Family Members of Individuals in Recovery (to include family members of adults with SMI)	Allies with Families	505 East 200 South Salt Lake City UT, 84102 PH: 801-433-2595 FX: 801-521-0872	lori@allieswithfamilies.org
Renee Chipmen	Individuals in Recovery (to include adults with SMI who are receiving, or have received, mental health services)			
Jeremy Christensen	State Employees	Department of Human Services		
Cathy Davis	State Employees	Utah State Office of Education	250 East 500 South Salt Lake City UT, 84114 PH: 801-538-7727	carol.landerson@schools.utah.gov
Liz Felt	Individuals in Recovery (to include adults with SMI who are receiving, or have received, mental health services)			
Kevin Foote	Individuals in Recovery (to include adults with SMI who are receiving, or have received, mental health services)			
Karen Ford	State Employees	Medicaid		
Nicole Fraedrich	State Employees	Utah State Office of Rehabilitation		
Valerie Fritz	Family Members of Individuals in Recovery (from Mental Illness and Addictions)			vfritz@houseofhopeut.org
Kimbal Gardner	Family Members of Individuals in Recovery (to include family members of adults with SMI)	NAMI-Utah		
Jacqueline Gomez-Anas	Individuals in Recovery (from Mental Illness and Addictions)	Latino Behavioral Health		

Mary Gully	Parents of children with SED			
Peggy Hostetter	Others (Not State employees or providers)	Advocate		
LeAnne Huff	State Employees	Division of Substance Abuse and Mental Health		
Lynda Krause	Family Members of Individuals in Recovery (to include family members of adults with SMI)		PH: 801-918-5909	kraushaus95@hotmail.com
Cooper Landvatter	Others (Not State employees or providers)	Salt Lake City Police Department		
Jenifer Lloyd	Others (Not State employees or providers)	Association for Utah Community Health		jenifer@auch.org
Lana Lomax	Individuals in Recovery (to include adults with SMI who are receiving, or have received, mental health services)			
Mary Jo McMillen	Individuals in Recovery (from Mental Illness and Addictions)	USARA		maryjo@usara.us
Walt moore	Individuals in Recovery (to include adults with SMI who are receiving, or have received, mental health services)			
Ken Rosenbaum	Individuals in Recovery (to include adults with SMI who are receiving, or have received, mental health services)	USARA		
Robert Snarr	State Employees	Housing		
Christina Zidow	Providers	Odyssey House of Utah		czidow@odysseyhouse.org

Footnotes:

Environmental Factors and Plan

Behavioral Health Council Composition by Member Type

Start Year: 2016 End Year: 2017

Type of Membership	Number	Percentage
Total Membership	23	
Individuals in Recovery* (to include adults with SMI who are receiving, or have received, mental health services)	8	
Family Members of Individuals in Recovery* (to include family members of adults with SMI)	3	
Parents of children with SED*	1	
Vacancies (Individuals and Family Members)	0	
Others (Not State employees or providers)	4	
Total Individuals in Recovery, Family Members & Others	16	69.57%
State Employees	6	
Providers	1	
Federally Recognized Tribe Representatives	0	
Vacancies	0	
Total State Employees & Providers	7	30.43%
Individuals/Family Members from Diverse Racial, Ethnic, and LGBTQ Populations	0	
Providers from Diverse Racial, Ethnic, and LGBTQ Populations	0	
Total Individuals and Providers from Diverse Racial, Ethnic, and LGBTQ Populations	0	
Persons in recovery from or providing treatment for or advocating for substance abuse services	0	

* States are encouraged to select these representatives from state Family/Consumer organizations.

Indicate how the Planning Council was involved in the review of the application. Did the Planning Council make any recommendations to modify the application?

Footnotes: